

## CS483-03 Asymptotic Notations for Algorithm Analysis

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Room 443 ST II

Office hours: **Tue. & Thur. 4:30pm - 5:30pm** or by appointments

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<http://www.cs.gmu.edu/~lifei/teaching/cs483.fall07/>

Some of this lecture note is based on Dr. J.M. Lien's lecture notes.

### Outline

- ▶ **Review**
- ▶ Order of growth
- ▶  $O$ ,  $\Omega$  and  $\Theta$
- ▶ Examples and exercises

## Review

In last class

➤ **Analysis framework**

- **Input size**
- **Basic operation**
- **Running time**

$$T(n) \approx c_{op} \cdot C(n),$$

where

- $n$  is the input size
  - $C(n)$  is the total number of basic operations for input of size  $n$ .
  - $c_{op}$  is the time needed to execute one single basic operation.
- **Worst-case, best-case, average-case**

## Outline

- Review
- **Order of growth**
- $O$ ,  $\Omega$  and  $\Theta$
- Examples and exercises

## Orders of Growth

► **Running time**  $T(n)$

$$T(n) \approx c_{op} \cdot C(n),$$

where  $n$  is the input size,  $C(n)$  is the total number of basic operations for input of size  $n$ , and  $c_{op}$  is the time needed to execute one single basic operation.

► **Example 1**

Given that  $C(n) = \frac{1}{2}n(n - 1)$ , how much the performance will be affected if the input size  $n$  is doubled?

$$growth = \frac{T(2n)}{T(n)} \approx \frac{c_{op} \cdot C(2n)}{c_{op} \cdot C(n)} = \frac{4n - 2}{n - 1} \approx 4$$

## Orders of Growth

► **Running time**  $T(n)$

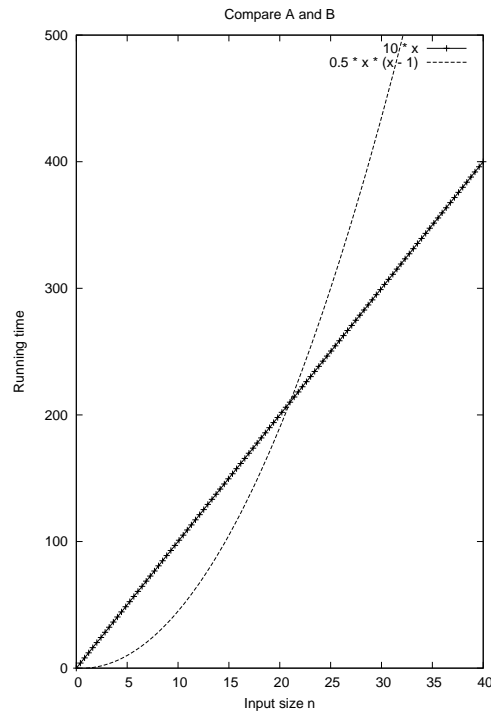
$$T(n) \approx c_{op} \cdot C(n),$$

where  $n$  is the input size,  $C(n)$  is the total number of basic operations for input of size  $n$ , and  $c_{op}$  is the time needed to execute one single basic operation.

► **Example 2**

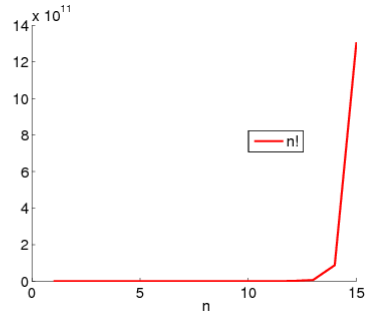
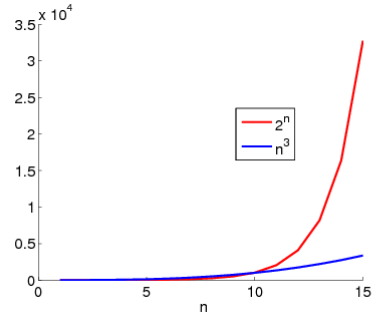
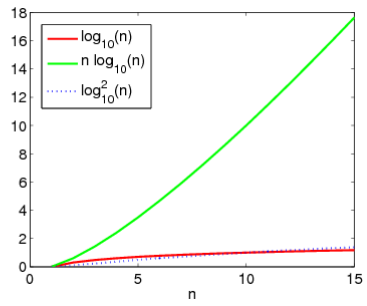
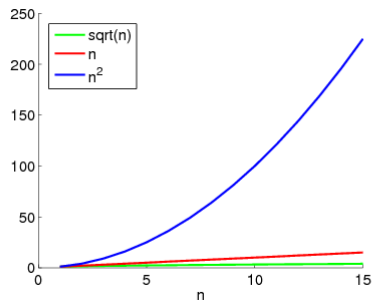
Given an algorithm  $A$  with  $C_A(n) = 10 \cdot n$  and another algorithm  $B$  with  $C_B(n) = \frac{1}{2}n(n - 1)$ , which algorithm is better?

$$\text{Answer} = \begin{cases} B, & \text{if } n \leq 21 \\ A, & \text{if } n > 21 \end{cases}$$



### Orders of Growth

- ▶ Some of the commonly seen functions representing the number of the basic operations  $C(n)$ 
  1.  $n$  (linear)
  2.  $n^2$  (quadratic)
  3.  $n^3$  (cubic)
  4.  $\log_{10}(n)$  (logarithmic)
  5.  $n \log_{10}(n)$  ( $n$ -log- $n$ )
  6.  $\log_{10}^2(n)$  (quadratic of log)
  7.  $\sqrt{n}$  (square root of  $n$ )
  8.  $2^n$  (exponential)
  9.  $n!$  (factorial function of  $n$ , 1808 by Christian Kramp)
- ▶ Can you order them by their growth rate?



### Orders of Growth

$n$	$n^2$	$n^3$	$2^n$	$n!$
10	$10^2$	$10^3$	1024	$3.6 \times 10^6$
100	$10^4$	$10^6$	$1.3 \times 10^{30}$	$9.3 \times 10^{157}$
1000	$10^6$	$10^9$	$1.1 \times 10^{301}$	
10000	$10^8$	$10^{12}$		

$n$	$\log_{10}(n)$	$n \log_{10}(n)$	$\log_{10}^2(n)$	$\sqrt{n}$
10	1	10	1	3.16
100	2	200	4	10
1000	3	3000	9	31.6
10000	4	40000	16	100

Order the functions by their growth rate

$$\log_{10}(n) < \log_{10}^2(n) < \sqrt{n} < n < n \log_{10}(n) < n^2 < n^3 < 2^n < n!$$

### Power of Growing Exponentially



from <http://www.ideum.com/portfolio>

► The king owns Shashi: 10,000,000,000,000,000,000 grains of rice.

## Outline

- ▶ Review
- ▶ Order of growth
- ▶  $O$ ,  $\Omega$  and  $\Theta$
- ▶ Examples and exercises

## Asymptotic Notations for Orders of Growth

- ▶ Ignore constant factors
- ▶ Ignore small input sizes
- ▶ Focus on order of growth
  - $O(g(n))$ : a set of functions  $f(n)$  that grow *no faster* than  $g(n)$ .
  - $\Omega(g(n))$ : a set of functions  $f(n)$  that grow *at least as fast* as  $g(n)$ .
  - $\Theta(g(n))$ : a set of functions  $f(n)$  that grow *at the same rate* as  $g(n)$ .

## Asymptotic Notations

- $O(g(n)) := \{f(n) \mid \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq c \cdot g(n) \text{ for all } n \geq n_0 \}$ .

$$f(n) \in O(g(n))$$

$f(n)$  grow *no faster* than  $g(n)$ .

- $\Omega(g(n)) := \{f(n) \mid \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq c \cdot g(n) \leq f(n) \text{ for all } n \geq n_0 \}$ .

$$f(n) \in \Omega(g(n))$$

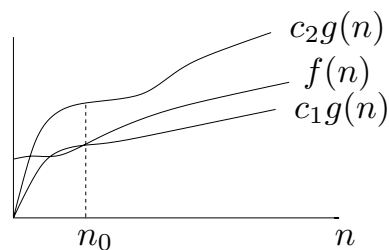
$f(n)$  grows *at least as fast* as  $g(n)$ .

- $\Theta(g(n)) := \{f(n) \mid \text{there exist positive constants } c_1, c_2, \text{ and } n_0 \text{ such that } c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n) \text{ for all } n \geq n_0 \}$ .

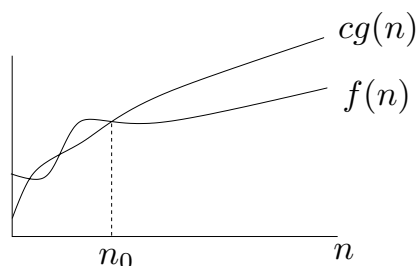
$$f(n) \in \Theta(g(n))$$

$f(n)$  grows *at the same rate* as  $g(n)$ .

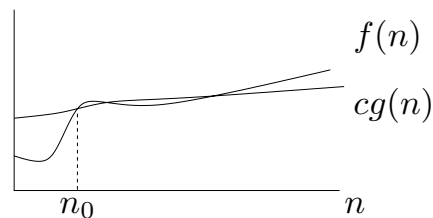
## Asymptotic Notations



$$f(n) \in \Theta(g(n))$$



$$f(n) \in O(g(n))$$



$$f(n) \in \Omega(g(n))$$



## Examples

- $O(g(n)) := \{f(n) \mid \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq c \cdot g(n) \text{ for all } n \geq n_0 \}$ .
- $2n^2 - 5n + 1 \in O(n^2)$
  - $2^n + n^{100} - 2 \in O(n!)$
  - $2n + 6 \notin O(\log n)$
- $\Omega(g(n)) := \{f(n) \mid \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq c \cdot g(n) \leq f(n) \text{ for all } n \geq n_0 \}$ .
- For any 2 functions  $f(n)$  and  $g(n)$ ,  $f(n) = \Theta(g(n))$  if and only if  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ .

## Examples

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  - $2n + 6 \in \Omega(\log n)$
- For any 2 functions  $f(n)$  and  $g(n)$ ,  $f(n) = \Theta(g(n))$  if and only if  $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ .
- $2n^2 - 5n + 1 \in \Theta(n^2)$
  - $2^n + n^{100} - 2 \notin \Theta(n!)$
  - $2n + 6 \notin \Theta(\log n)$

## Establish Order of Growth

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \begin{cases} 0 & f(n) \text{ has a smaller order of growth than } g(n) \\ c > 0 & f(n) \text{ has the same order of growth as } g(n) \\ \infty & f(n) \text{ has a larger order of growth than } g(n) \end{cases}$$

$$2n^2 - 5n + 1 \quad \text{vs.} \quad n^2$$

$$2^n + n^{100} - 2 \quad \text{vs.} \quad n!$$

$$2n + 6 \quad \text{vs.} \quad \log n$$

## Establish Order of Growth

- **L'Hopital's rule**

If  $\lim_{n \rightarrow \infty} f(n) = \lim_{n \rightarrow \infty} g(n) = \infty$  and the derivatives  $f'$  and  $g'$  exist, then

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{f'(n)}{g'(n)}$$

- **Stirling's formula**

$$n! \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n$$

where  $e$  is the natural logarithm,  $e \approx 2.718$ .  $\pi \approx 3.1415$ .

$$\sqrt{2\pi n} \left(\frac{n}{e}\right)^n \leq n! \leq \sqrt{2\pi n} \left(\frac{n}{e}\right)^{n + \frac{1}{12n}}$$

## Some Facts on Growth of Order

- A weak version of **Stirling's formula**

$$\left(\frac{n}{3}\right)^n < n! < \left(\frac{n}{2}\right)^n, \text{ if } n \geq 6.$$

- Another view

$$\ln n! \approx n \ln n - n + \frac{\ln n}{2} + \frac{\ln 2\pi}{2}$$

- **11!** and **20!** are the largest factorials stored in **32-bit** and **64-bit** computers.

( $20! = 2432902008176640000$ )

- **googol** is  $10^{100}$  and  $70! \approx 1.198$  googol.

Consider arranging 70 people in a row.

- A **googol** is **greater than the number of atoms** in the observable universe, which has been variously estimated from  $10^{79}$  up to  $10^{81}$ .

## Exercises

$$2n^2 - 5n + 1 \quad vs. \quad n^2$$

$$2^n + n^{100} - 2 \quad vs. \quad n!$$

$$2n + 6 \quad vs. \quad \log n$$

## Exercises

- ▶ All **logarithmic** functions  $\log_a n$  belong to the **same class**  $\Theta(\log n)$  no matter what the logarithmic base  $a > 1$  is.
- ▶ All **polynomials** of the same **degree**  $k$  belong to the same class  $a_k n^k + a_{k-1} n^{k-1} + \dots + a_1 n + a_0 \in \Theta(n^k)$ .
- ▶ **Exponential** functions  $a^n$  have **different orders** of growth for **different**  $a$ 's, i.e.,  $2^n \notin \Theta(3^n)$ .
- ▶  $\Theta(\log n) < \Theta(n^a) < \Theta(a^n) < \Theta(n!) < \Theta(n^n)$ , where  $a > 1$ .