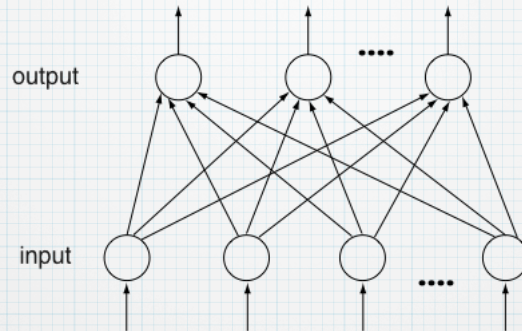


## The Perceptron Algorithm

### Perceptron (Frank Rosenblatt, 1957)

- First learning algorithm for neural networks;
- Originally introduced for character classification, where each character is represented as an image;

## Perceptron (contd.)



Total input to output node:  $\sum_{j=1}^n w_j x_j$

Output unit performs the function: (activation function):

$$H(x) = \begin{cases} 1 & \text{if } x \geq 0 \\ 0 & \text{if } x < 0 \end{cases}$$

## Perceptron: Learning Algorithm

- **Goal:** we want to define a learning algorithm for the weights in order to compute a mapping from the inputs to the outputs;
- **Example:** two class character recognition problem.
  - **Training set:** set of images representing either the character 'a' or the character 'b' (supervised learning);
  - **Learning Task:** Learn the weights so that when a new unlabelled image comes in, the network can predict its label.
  - Settings:
    - Class 'a'  $\rightarrow$  1 (class C1)
    - Class 'b'  $\rightarrow$  0 (class C2)
    - n input units (intensity level of a pixel)
    - 1 output unit

The perceptron needs to learn  
 $f: \mathcal{R}^n \rightarrow \{0,1\}$

## Perceptron: Learning Algorithm

The algorithm proceeds as follows:

- Initial random setting of weights;
- The input is a random sequence  $\{\mathbf{x}_k\}_{k \in \mathbb{N}}$
- For each element of class C1, if output = 1 (correct) do nothing, otherwise update weights;
- For each element of class C2, if output = 0 (correct) do nothing, otherwise update weights.

## Perceptron: Learning Algorithm

A bit more formally:

$$\mathbf{x} = (x_1, x_2, \dots, x_n) \quad \mathbf{w} = (w_1, w_2, \dots, w_n)$$

$\theta$  : Threshold of the output unit

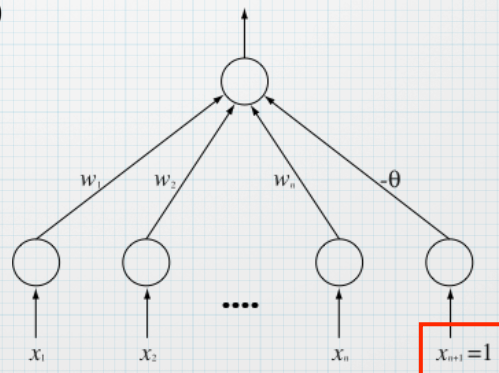
$$\mathbf{w}\mathbf{x}^T = w_1x_1 + w_2x_2 + \dots + w_nx_n$$

Output is 1 if  $\mathbf{w}\mathbf{x}^T - \theta \geq 0$

To eliminate the explicit dependence on  $\theta$ :

Output is 1 if:

$$\hat{\mathbf{w}}\hat{\mathbf{x}}^T = \sum_{i=1}^{n+1} w_i x_i \geq 0$$



## Perceptron: Learning Algorithm

- We want to learn values of the weights so that the perceptron correctly discriminate elements of  $C_1$  from elements of  $C_2$ :
- Given  $x$  in input, if  $x$  is classified correctly, weights are unchanged, otherwise:

$$w' = \begin{cases} w + x & \text{if an element of class } C_1 (1) \text{ was classified as in } C_2 \\ w - x & \text{if an element of class } C_2 (0) \text{ was classified as in } C_1 \end{cases}$$

## Perceptron: Learning Algorithm

$$w' = \begin{cases} w + x & \text{if an element of class } C_1 (1) \text{ was classified as in } C_2 \\ w - x & \text{if an element of class } C_2 (0) \text{ was classified as in } C_1 \end{cases}$$

- **1<sup>st</sup> case:**  $x \in C_1$  and was classified in  $C_2$

The correct answer is 1, which corresponds to:  $\hat{w}x^T \geq 0$

We have instead:  $\hat{w}x^T < 0$

We want to get closer to the correct answer:  $wx^T < w'x^T$

$$wx^T < w'x^T \quad \text{iff} \quad wx^T < (w + x)x^T$$

$$(w + x)x^T = wx^T + xx^T = wx^T + \|x\|^2$$

because  $\|x\|^2 \geq 0$ , the condition is verified

## Perceptron: Learning Algorithm

$$\mathbf{w}' = \begin{cases} \mathbf{w} + \mathbf{x} & \text{if an element of class } C_1 (1) \text{ was classified as in } C_2 \\ \mathbf{w} - \mathbf{x} & \text{if an element of class } C_2 (0) \text{ was classified as in } C_1 \end{cases}$$

- 2<sup>nd</sup> case:  $\mathbf{x} \in C_2$  and was classified in  $C_1$

The correct answer is 0, which corresponds to:  $\hat{\mathbf{w}}\hat{\mathbf{x}}^T < 0$

We have instead:  $\hat{\mathbf{w}}\hat{\mathbf{x}}^T \geq 0$

We want to get closer to the correct answer:  $\mathbf{w}\mathbf{x}^T > \mathbf{w}'\mathbf{x}^T$

$$\mathbf{w}\mathbf{x}^T > \mathbf{w}'\mathbf{x}^T \quad \text{iff} \quad \mathbf{w}\mathbf{x}^T > (\mathbf{w} - \mathbf{x})\mathbf{x}^T$$

$$(\mathbf{w} - \mathbf{x})\mathbf{x}^T = \mathbf{w}\mathbf{x}^T - \mathbf{x}\mathbf{x}^T = \mathbf{w}\mathbf{x}^T - \|\mathbf{x}\|^2$$

because  $\|\mathbf{x}\|^2 \geq 0$ , the condition is verified

The previous rule allows the network to get closer to the correct answer when it performs an error.

## Perceptron: Learning Algorithm

- In summary:

1. A random sequence  $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_k, \dots$  is generated such that  $\mathbf{x}_i \in C_1 \cup C_2$
2. If  $\mathbf{x}_k$  is correctly classified, then  $\mathbf{w}_{k+1} = \mathbf{w}_k$  otherwise

$$\mathbf{w}_{k+1} = \begin{cases} \mathbf{w}_k + \mathbf{x}_k & \text{if } \mathbf{x}_k \in C_1 \\ \mathbf{w}_k - \mathbf{x}_k & \text{if } \mathbf{x}_k \in C_2 \end{cases}$$



## Perceptron: Learning Algorithm

Does the learning algorithm converge?

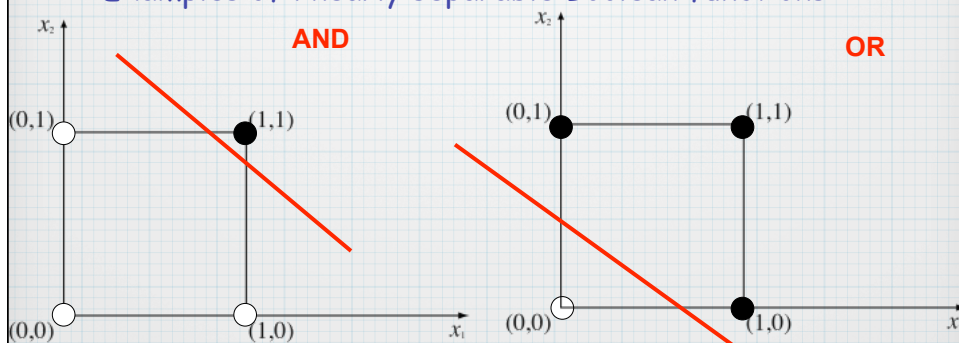
Convergence theorem: Regardless of the initial choice of weights, if the two classes are linearly separable, i.e. there exist  $w$  s.t.

$$\begin{cases} \hat{w}\hat{x}^T \geq 0 & \text{if } x \in C_1 \\ \hat{w}\hat{x}^T < 0 & \text{if } x \in C_2 \end{cases}$$

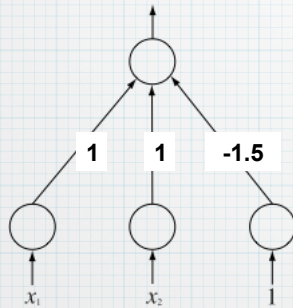
then the learning rule will find such solution after a finite number of steps.

## Representational Power of Perceptrons

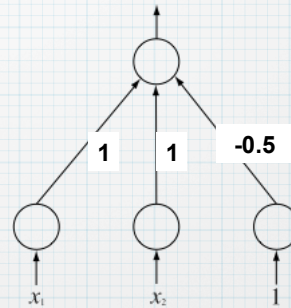
- Marvin Minsky and Seymour Papert, "Perceptrons" 1969:  
"The perceptron can solve only problems with linearly separable classes."
- Examples of linearly separable Boolean functions:



## Representational Power of Perceptrons



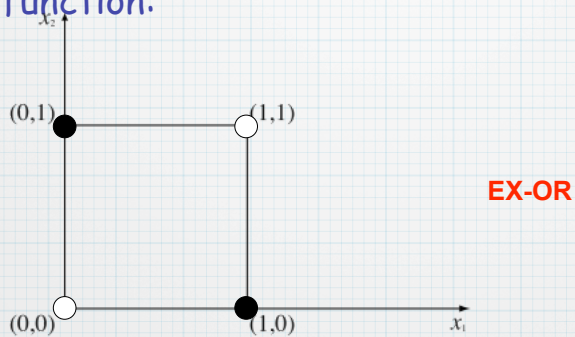
Perceptron that computes the  
AND function



Perceptron that computes the  
OR function

## Representational Power of Perceptrons

- Example of a **non** linearly separable Boolean function:



The EX-OR function **cannot** be computed by a perceptron