Rapid sampling of local minima in protein energy surface and effective reduction through a multi-objective filter

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Abstract

Background: Many problems in protein modeling demand obtaining a discrete representation of the protein conformational space in terms of an ensemble of conformations. In ab-initio structure prediction, in particular, where the goal is to predict the native structure of a protein chain given its amino-acid sequence, the ensemble needs to satisfy energetic constraints. Given the thermodynamic hypothesis, an effective ensemble contains low-energy conformations near the native structure. The high-dimensionality of the conformational space and the ruggedness of the underlying energy surface currently make it very difficult to obtain such an ensemble. Recent studies have proposed that Basin Hopping is a promising probabilistic search framework to obtain a discrete representation of the protein energy surface in terms of local minima. The framework, where a structural perturbation is followed by an energy minimization to hop between nearby minima in the energy surface, has been shown effective in obtaining conformations near the native structure for small systems. Recent work by us has extended this framework to larger systems through employment of the molecular fragment replacement technique, resulting in rapid sampling of large ensembles.

Methods: Here we conduct a detailed investigation of the algorithmic components in Basin Hopping to both understand and control their effect on the sampling of near-native minima. Realizing that such an ensemble is reduced before further refinement in full ab-initio protocols, we take an additional step and analyze the quality of the ensemble retained by ensemble reduction techniques. We propose a novel multi-objective technique based on the Pareto front to filter the ensemble of sampled local minima.

Results and conclusions: We show that controlling the magnitude of the perturbation allows directly controlling the distance between consecutively-sampled local minima and in turn steering the exploration towards conformations near the native structure. In minimization, we show that a simple greedy search is just as effective as Metropolis Monte Carlo-based minimization. Finally, we show that the multi-objective filter is particularly effective at efficiently reducing the ensemble of sampled local minima and obtains a simpler representation of the probed energy surface.

Background

Many problems in protein modeling demand obtaining a discrete representation of the protein conformational space in terms of an ensemble of conformations. In the ab-initio structure prediction problem, in particular, where the goal is to predict the native structure of a protein chain given its amino-acid sequence, the ensemble needs to satisfy certain energetic constraints. Under the thermodynamics treatment [1], the native structure is located at the basin of a funnel-like energy surface [2,3]. Thus, search algorithms that generate conformations and are guided towards low-energy ones by a potential energy function should obtain an effective ensemble containing low-energy conformations near the native structure. This is predominantly not the case due to the size and high-dimensionality of the protein conformational space and the ruggedness of the underlying energy surface [4]. Despite these challenges, computational research is needed to close the growing gap between the wealth of protein sequence data and the scarce information on native structures. Obtaining structural information ab initio promises to elucidate the structure-function relationship and advance structure-driven studies of biological function and drug design [5–7].

The two predominant reasons that it is challenging to obtain a conformational ensemble near the (unknown) native structure of a protein are poor sampling capability by the search algorithm and inaccuracies in the energy function employed by this algorithm to probe low-energy regions of the energy surface. Limited sampling capability is to be expected when considering a vast high-dimensional search space. For the purpose of illustrating this point, consider a protein chain of n amino acids. Each amino acid contains a group of atoms. A shared subset among all known amino acids, known as backbone atoms, defines the main backbone thread that runs through the protein chain. Even if focusing on modeling only this thread and its spatial arrangements, which we refer to as conformations, the space populated by these conformations has many dimensions. There are 4 heavy backbone atoms per amino acid. A cartesian representation would define a 4 * 3n-dimensional space. One can reduce this down to a 3n- or a 2n-dimensional space if instead of maintaining cartesian coordinates, only backbone dihedral angles are maintained to represent a conformation. For a small protein of 30 amino acids, the conformational space has at least 60 dimensions in this angular representation.

The high-dimensionality of the search space favors certain approaches to the problem of obtaining an ensemble of conformations near the native structure in a reasonable amount of time. Methods based on the Molecular Dynamics (MD) approach simulate the actual folding process where a protein slowly tumbles down the energy surface from its unfolded to the folded native state. Simulating folding kinetics demands very small moves in the energy surface in order to retain accuracy when integrating equations of motions. For this reason, MD-based approaches demand significant computational resources (e.g., Folding@Home) and/or specialized hardware (e.g. Antoine) [8,9]. Sacrificing information on folding kinetics and conducting instead global (energy) optimization is useful and justified under the thermodynamics treatment. Optimization-based approaches can obtain native conformations orders of magnitude faster than approaches that simulate folding pathways [10]. Many of these approaches follow the Monte Carlo (MC) approach in order to enhance their sampling capability over the MD approach. The complexity of the protein energy surface still presents a significant challenge for MC-based approaches, especially on medium-size proteins [4]. For this reason, research into development and analysis of stochastic optimization algorithms for conformational search is very active [11].

A unifying strategy among many stochastic optimization techniques for ab-initio structure prediction is the sampling of a large number of low-energy conformations. The emphasis on the size is due to the fact that many local minima may be present in the energy surface, particularly in those constructed by current functions available to measure the potential energy of a protein conformation. Predominantly, the conformations are end points of many independent MD or MC trajectories locally optimizing some chosen coarse-grained energy function. In full ab-initio protocols, stochastic optimization with a coarse-grained energy function constitutes only stage one. After the ensemble of low-energy conformations is obtained, often referred to as decoys, the decoy ensemble is reduced in preparation for a second stage of optimization. The reduction employs either filtering by energies or grouping by structural similarity through clusteringbased techniques. The purpose of the reduction is to reveal a subset of conformations representing local minima that are worth optimizing further at greater structural detail and through some finer-grained energy function in order to improve their proximity to the native structure [5, 12, 13, 13–17].

While successful on many small-to-medium proteins, current approaches are bound by the accuracy of the employed energy function. Many studies analyze the inherent errors due to approximations in state-of-the-art

energy functions [18]. These errors are responsible for deviations between the reported global minimum of an energy function and the experimentally-determined structure. Some studies report that deviations can vary between 2-4Å [10]. In this context, approaches that aim to obtain a broad view of different low-energy local minima are more appropriate, particularly if they are to be followed by detailed heavy-duty optimization techniques on select minima.

In most MC-based methods, the broad view is obtained by launching many independent MC trajectories. In another approaches, the trajectories are integrated into a tree-based or population-based search framework, maintaining a broader view and thus a more diverse decoy ensemble by employing analysis of the ensemble to effectively guide the search towards relevant regions of the search space [19–22]. In roboticsinspired approaches, a tree of conformations grows in conformational space [19, 20], and low-dimensional embeddings of the energy surface and conformational space are used to collect online statistics with which to adaptively bias the search towards low-energy regions and away from over-sampled regions. In evolutionaryinspired approaches [21, 22], multi-objective analysis of energy terms is used to guide the search towards a diverse population of conformations. Currently, this multi-objective analysis is applied only to all-atom representations and applied to very small proteins.

None of the above methods explicitly sample local minima in the energy surface. They rather rely on some post-analysis to group conformations together to identify captured local minima. Recent studies by us and others have proposed that Basin Hopping (BH) is a promising stochastic optimization framework to directly obtain a discrete representation of the protein energy surface in terms of local minima [23–25]. The framework was originally introduced to obtain the Lennard-Jones minima of small atomic clusters [26]. The motivation for the BH framework in [26] was from evolutionary search algorithms, such as Iterated Local Search (ILS). ILS consists of iterated applications of perturbation followed by local search and is popular for solving discrete optimization problems [27]. An adaptation of ILS for molecular modeling introduces a Metropolis-like criterion to bias the sampling of local minima towards lower energy ones over time.

Algorithmic realizations of BH were available before, most notably in the MC with Minimization algorithm [28, 29]. BH algorithms essentially differ in how they implement perturbation and minimization. Perturbation predominantly modifies atomic coordinates, and minimization is either a gradient descent or a Metropolis MC at low temperature. BH algorithms have been applied to capture local minima of small atomic clusters and map the energy surface of polyalanines and model other small proteins [10, 30–32].

The BH framework has gained new attention for protein structure prediction [23–25]. In [23], the perturbation changes cartesian coordinates by values sampled uniformly at random over a small range. The minimization is implemented through a gradient descent of a selected coarse-grained energy function. The resulting BH algorithm succeeds in locating both lower-energy minima and conformations closer to the experimentally-determined native structure than MD with Simulated Annealing on small proteins. On sequences longer than 75 amino acids, the efficiency decreases [23].

Recent work by us addresses this issue and extends the applicability of the BH framework to longer protein sequences by employing the molecular fragment replacement technique [24, 25] (detailed in the Methods section). Application of the resulting BH algorithm shows that the obtained proximity to the known native structure is similar to that reported by many state-of-the-art structure prediction protocols. It is worth noting that the BH algorithm in [25] employs a coarse-grained energy function and is intended to be the first step in a structure prediction protocol that then further refines select minima.

Given the newly-gained attention and promise of the BH framework for structure prediction, it is important to obtain a deeper understanding and assess the components and efficiency of this framework. While some studies into the efficacy of different perturbation moves for identifying low-energy isomers of small Si and CU clusters exist in the computational physics community [33], no such study is available for proteins. In this work we offer a detailed analysis of the BH framework in the context of structure prediction.

We conduct a detailed investigation of the framework's two main components, perturbation and minimization and analyze how they work in concert to affect sampling of decoy conformations. We show that controlling the magnitude of jumps in conformational space due to perturbation allows directly controlling the distance between consecutively-sampled local minima. We show in turn that this distance is related to the ability to effectively steer the exploration towards near-native conformations. We also show that a greedy search in minimization is just as effective as Metropolis MC-based minimization.

Our BH algorithm is effective at rapidly sampling large numbers of decoy conformations that represent local minima in the protein energy surface. Here we extend analysis of this decoy ensemble beyond simply comparing the decoys with the lowest lRMSD to the experimentally-determined native structure. Realizing that the true utility of a stochastic optimization technique is in which subset of its conformations would be retained for further refinement in a complete ab-initio protocol, we pursue different reduction techniques and analyze how each of those would retain near-native conformations sampled by the BH algorithm.

We show, as expected, that ensemble reduction techniques based on total energy miss many promising near-native conformations. This is to be expected, as a method with high sampling capability will uncover many low-energy non-native conformations. Given the growing knowledge that current energy functions, particularly coarse-grained ones, are weakly funneled, displaying very weak correlation between low energies and proximity to the native structure, no energetic threshold will discard non-native and retain near-native conformations. Our analysis shows this on 15 diverse protein systems. On the other hand, reduction techniques that discard energies and instead cluster conformations by structural similarity can be quite computationally demanding with large ensemble size (10^6 conformations or more). Such techniques would also not be viable if there is a need to possibly apply them repeatedly during search.

We introduce here a novel energy-based ensemble reduction technique that makes use of multi-objective analysis to enhance retention near-native decoys. The technique decomposes the energy of each conformation into the various terms in the energy function and evaluates conformations based on Pareto count and the Pareto front. The analysis is particularly suited to finding a subset of conformations that satisfy conflicting terms, as is the case with terms added up in energy functions. We show that our Pareto-based selection scheme significantly reduces the size of the decoy ensemble, while retaining a more diverse set of near-native conformations than employing a total energy threshold. These results are shown to be robust and valid when using two different state-of-the-art coarse-grained energy functions commonly employed in a structure prediction setting. The computational complexity of computing these multi-objective metrics makes them practical, even on very large ensembles of decoy conformations. Since the Pareto front and Pareto count can be computed online, these multi-objective energy metrics are also ideal to be employed in online analyses used by tree-based and population-based search algorithms to adaptively guide search.

Methods

Obtaining a broad view of the energy surface for a protein sequence of interest in the coarse-grained stage relies on a stochastic optimization algorithm to go through different conformations and an energy function to score these conformations and guide the search towards low-energy ones. As described in the Background section, coarse graining in this stage refers to the employment of a coarse-grained representation for the protein chain. As in many state-of-the-art ab-initio protocols, we employ an extended backbone representation in our BH-based algorithm, sacrificing side chains. This representation is detailed first below, in the Molecular representation section. Given a coarse-grained representation, a coarse-grained energy function scores conformations generated by the search algorithm. We consider here two state-of-the-art coarse-grained energy functions, the AMW and the Rosetta energy functions, briefly described below in the Coarse-grained energy function section. The BH-based stochastic optimization algorithm that makes use of the chosen representation and energy function(s) is described next, followed by details on the different implementations considered and analyzed for its perturbation and minimization components. The implementations for the algorithmic components of the algorithm are analyzed in detail for how they affect the quality of the (decoy) ensemble of local minima produced by the algorithm. The Pareto-optimal filtering of this ensemble is described last.

Molecular representation

The structural detail in the side chains of a protein is largely sacrificed in the interest of expediency. It is worth noting that once the decoy ensemble is obtained and reduced through selection techniques, the retained coarse-grained conformations are added structural detail through side-chain packing techniques [34,35]. The AMW and the Rosetta coarse-grained energy functions considered here and described below operate on slightly different extended backbone representations. In both cases, the backbone heavy atoms N, C, C_{α} , and O are explicitly modeled. When using AMW, side-chains are reduced to only the C_{β} atom (with exception of glycine, where there is no such atom). When using Rosetta, a side chain is reduced to a pseudo-atom centered at the side chain's centroid.

Cartesian coordinates for the atoms modeled are employed by the respective energy functions to associate a potential energy value or score with a generated conformation. Internally, the representation employed by the algorithm to generate conformations maintains only three backbone dihedral angles (ϕ , ψ , ω) per amino acid. This angular representation, also known as a kinematic model, is based on the idealized geometry assumption, which fixes bond lengths and angles to idealized (native) values (taken from CHARMM22 [36]) and limits variations to backbone dihedral angles. Using this angular representation, the BH algorithm essentially generates conformations by replacing values for an entire block of ϕ , ψ , ω angles of f consecutive amino acids at a time (f is often referred to as the fragment length). New values for a block are sampled from a fragment configuration library, which essentially stores blocks of angles observed in known native structures, as described in the Background section. After a conformation is obtained in its angular representation, forward kinematics is employed to obtain cartesian coordinates for the modeled atoms from the backbone dihedral angles [37].

Coarse-grained energy function

Our experiments in this paper consider two state-of-the-art coarse-grained energy functions, the Associative Memory Hamiltonian with Water (AMW), and the Rosetta energy function, described below.

AMW energy function

This coarse-grained potential, originally proposed in [38], has been used by us and others in the context of different search procedures for the purpose of decoy sampling in ab-initio structure prediction [12, 19, 20, 39–41]. Briefly, AMW sums 5 non-local terms (local interactions are kept at ideal values under the idealized geometry assumption): $E_{AMW} = E_{Lennard-Jones} + E_{H-Bond} + E_{compaction} + E_{burial} + E_{water}$. The $E_{Lennard-Jones}$ term is implemented after the 12-6 Lennard-Jones potential in AMBER9 [42] allowing a soft penetration of van der Waals spheres. The E_{H-Bond} term allows modeling hydrogen bonds and is implemented as in [43]. The other terms, $E_{compaction}$, E_{burial} , and E_{water} , allow formation of a hydrophobic core and water-mediated interactions (See [12] for more details).

Rosetta energy function

The Rosetta energy function we use here corresponds to the *score3* setting in the suite of energy functions used in the Rosetta ab-initio protocol [44]. The different energy functions used in the Rosetta ab-initio protocol are scaled versions of a full energy function that is a linear combination of 10 terms. These terms measure repulsion, amino-acid propensities, residue environment, residue pair interactions, interactions between secondary structure elements, density, and compactness. The different substages used in the Rosetta ab-initio protocol use subsets of the terms of the full energy function and modify weights in the linear combination to promote certain interactions over others. We use here the score3 setting, as this corresponds to the full coarse-grained Rosetta energy function.

Probabilistic Search Algorithm based on Basin Hopping Framework

We first proposed the BH-based probabilistic search algorithm that we analyze in detail in this paper in [25]. Briefly, the algorithm hops between two consecutive minima C_i and C_{i+1} through an intermediate $C_{\text{perturb},i}$ conformation. The perturbation modifies C_i to obtain a higher-energy conformation $C_{\text{perturb},i}$ that allows escaping the current minimum. The minimization conducts a series of modifications starting from $C_{\text{perturb},i}$ to reach a new minimum C_{i+1} . C_{i+1} is added as the current minimum to the trajectory according to the Metropolis criterion based on the energetic difference between C_i and C_{i+1} . The result is a trajectory of conformations representing local minima in the energy surface. The Metropolis criterion guides the trajectory towards lower-energy regions of the energy surface. Thus, the ensemble of decoy conformations obtained with BH consists of good-quality conformations that represent local minima in the protein energy surface.

The two main components in the algorithm are the perturbation and minimization. They both modify

conformations using the molecular fragment replacement technique described in the Background section. Briefly, given a conformation, a trimer (three consecutive amino acids) is selected at random over the target protein sequence. A configuration for that trimer (consisting of 9 backbone dihedral angles - ϕ , ψ , ω for each of the amino acids in the trimer) is then obtained at random over the available ones in a fragment configuration library. The library is pre-compiled from configurations extracted from known non-redundant native structures. The fragment configuration library is constructed as in the protocol outlined in the Rosetta ab-initio package (for further details, cf. to Ref [25]). While the perturbation replaces one trimer configuration, the minimization consists of repeated replacements until a certain preset number of consecutive attempts fail to lower energy.

In this work we propose and analyze different implementations for the minimization and perturbation components, paying attention to how they affect the quality of the decoy ensemble. We do not explicitly analyze the efficacy of different moves that one can employ in perturbation. Comparative results between work in [23], which applies small random perturbations to atomic coordinates, and work in [25], which applies trimer configuration replacements, suggests that the latter moves are more efficient with growing sequence length and confer higher sampling capability.

Perturbation

The magnitude of the jump provided by the perturbation needs to be large enough to escape the current minimum (so the following minimization does not bring the trajectory back to it), but also not so large that consecutive minima are unrelated (in terms of proximity in the conformational space). If the magnitude is too small, the BH search is inefficient. If the magnitude is too large, the search effectively resorts to minimizations of conformations sampled at random and the Metropolis criterion does not provide the intended energy bias. Here we quantify how the perturbation magnitude controls the distance between consecutive minima and analyze whether this control has any bearing on the sampling of near-native conformations.

The following technique is employed to control the magnitude of each perturbation jump to a configured distance D (the magnitude is measured as the lRMSD between C_i and $C_{perturb,i}$). A target distance dis sampled from a Gaussian distribution centered at D with a standard deviation of 1. A new perturbed conformation $C_{perturb}$ is sampled using a single trimer configuration replacement. $C_{perturb}$ is accepted if the lRMSD between C_i and $C_{perturb}$ is within a tolerance, t, of the target distance d. The process is repeated for a maximum n number of attempts or until a $C_{perturb}$ that satisfies the lRSMD criterion is obtained. If not, the ensuing minimization uses as $C_{perturb,i}$ the $C_{perturb}$ conformation with the lRMSD from C_i closest to d over all n obtained in this process. The value of n is set to 20, which is large enough to find an accepted C_{perturb} within a tolerance t = 0.5Å in most cases. Since candidates for $C_{\text{perturb,i}}$ are not evaluated for energy, this process adds insignificant additional computation to the overall BH search.

Minimization

The two main alternatives we study for the minimization component are the greedy search summarized above and implemented originally in [25] and Metropolis MC (MMC) trajectories of different effective temperatures. We do not investigate gradient-based techniques, as they converge very slowly to a local minimum [23].

Greedy search insists on lowering the energy after every modification. An MMC search instead can cross over energetic barriers whose height is controlled through the effective temperature in the Metropolis criterion. Employing a small non-zero T allows MMC to jump over low barriers and possibly probe lowerenergy levels than a strictly downhill greedy search. The MMC trajectory continues until k consecutive moves (a move consists of a single trimer configuration replacement) have been rejected (k is the number of amino acids in the sequence).

Finding true local minima in the energy surface can be computationally intensive. Analysis of the AMW surface in previous work shows that the native structure lies somewhere above the true global minimum [25]. The working definition of a local minimum here in terms of the parameter k is sufficient to discover nearnative conformations [25].

Controlling the effective temperature allows controlling the height of the barriers crossed during the MMC search. The greedy search shown effective in our previous work [25] can be regarded as a special case where the effective temperature is set to 0; hence, no higher-energy moves are allowed. In section, we compare the effectiveness of greedy vs. MMC search in minimization. Three different effective temperatures are studied in the context of the MMC search. A very low one, T_0 , corresponds to accepting a 1.4 kcal/mol energy increase with probability 0.1, and two slightly higher ones, T_1 and T_2 , respectively, accept energy increases of 1.7 and 2.6 kcal/mol with probability 0.1.

Multi-objective ensemble reduction

The ensemble Ω of local minima that is obtained by the BH-based algorithm under some chosen implementations of the perturbation and minimization components can be large. The ensemble Ω needs to be reduced in order to provide a relevant subset of local minima for further energetic refinement at greater structural detail in the context of a complete ab-initio structure prediction protocol. The reduction necessitates a trade-off between selecting a small number of conformations and selecting a sample diverse enough so as to increase the likelihood of retaining near-native conformations.

Selecting all conformations below some energy threshold is problematic. First, there is no consistent technique for selecting an appropriate energy threshold for different protein systems. Second, it is likely that the threshold will either include a large portion of the ensemble, making fine-grained refinement computationally prohibitive, or exclude many near-native conformations (recall that the native structure may deviate from the global energy minimum, as current energy functions are all weakly funneled). However, the noise resulting from the weighted linear combination of energy terms in current energy functions can be avoided by conducting a more nuanced energetic comparison that considers energy terms individually [45]. This multi-objective analysis is the foundation of the technique we propose and analyze here to reduce Ω .

A conformation C_i is said to dominate a conformation C_j when every energy term in C_i is lower than the corresponding term in C_j . If there is no conformation in Ω that dominates C_j , then C_j is said to be nondominated. Conformations in the non-dominated ensemble, referred to as the Pareto front, are considered equivalent with respect to a multi-objective analysis. Figure 1 illustrates the the Pareto front for a simplified energy function containing only two terms.

When every term in C_i is less than every term in C_j , C_i is said to strongly dominate C_j . If the requirement for dominance is relaxed such that every term in C_i is less than or equal to its corresponding term in C_j , this is referred to as weak dominance. Typically, multi-objective analysis employs strong dominance, however, in some cases weak dominance may be more appropriate, particularly if one of the energy terms has a very low variance.

Membership in the Pareto front is a binary state. It is often desirable to employ multi-objective analysis to rank conformations whether or not they lie in the Pareto front. One such metric is the Pareto count of a conformation. The Pareto count of C_i measures the number of other conformations C_i dominates. Pareto count is illustrated in Figure 1.

This work employs employs multi-objective analysis as a method for filtering the Ω ensemble of conformations representing local minima. The ensemble Ω_{PF} corresponds to conformations that lie in the Pareto front and $\Omega_{PC(n)}$ corresponds to conformations with a Pareto count above a given threshold value. The variable n is set to a particular percentage of Ω and a Pareto count threshold is chosen such that $|\Omega_{PC(n)}| = n * |\Omega|$. For example, $\Omega_{PC(5\%)}$ represents the 5% of conformations in Ω with the highest values for Pareto count.

Results and discussion

Experimental setup The analysis is conducted over 15 target protein systems listed in Table 1 which range from 61-123 amino acids in length and cover the α , β , and α/β folds. Experiments are run for a fixed budget of 10,000,000 energy function evaluations. Since over 90% of CPU time is spent on such evaluations, the limit ensures a fair comparison between different parameter selections on a diverse set of proteins. Computing 10,000,000 energy function evaluations takes 1-4 days of CPU time on a 2.4Ghz Core i7 processor, depending on protein length. The perturbation and minimization components are analyzed first in the Analysis of BH framework section with respect to the AMW energy function. Lastly, the Multi-objective ensemble reduction section presents results for Ω ensembles obtained by running the BH framework with both the AMW and Rosetta energy functions.

Analysis of BH framework

Analysis is performed on the effect of biasing perturbation distance and varying the temperature of the local search in the BH framework.

Biasing perturbation distance

Our previous work shows a direct correlation between the mean IRMSD between consecutive local minima (referred to from now on as $\mu_{|MM|}$) and the ability of the BH framework to sample near-native conformations [25]. Figure 2 shows that $\mu_{|MM|}$ can be effectively controlled by biasing the magnitude of the perturbation jump through a target perturbation distance D; as D is increased, there is a corresponding increase in $\mu_{|MM|}$. Tuning D does not have any significant effect on the single lowest IRMSD obtained (IRMSD is computed over the heavy backbone atoms and measures the proximity of a conformation to the experimental native structure). However, D affects the frequency with which near-native conformations are obtained (that is, the distribution of sampled minima) in cases where unbiased perturbation results in large $\mu_{|MM|}$ values. Figure 3 illustrates this for two representative systems by plotting, for different values of D, the distribution of $\mu_{|MM|}$ values and the resulting distribution of IRMSD values. These results show that there is a distinct advantage to biasing the perturbation distance to D = 1Å or D = 2Å. Figures 3(a) and 3(c) show that the frequency of small $\mu_{|MM|}$ is larger when $D \in \{1, 2\}$ Å vs. an unbiased perturbation. Figures 3(b) and 3(d) show that the resulting ensembles contain more low-IRMSD conformations than the unbiased approach.

The effect of controlling D shown in Figure 3 is strongest on more heavily β -sheet proteins (those with

native PDB ids 1dtdB, 1isuA, 1wapA, and 1hhp). On these proteins, an unbiased perturbation results in few small consecutive local minima distances. More near-native conformations are also obtained (though to a lesser extent) when $D \in \{1, 2\}$ for other proteins (with native PDB ids 1ail, 1sap, and 2h5nD). On these proteins, unbiased perturbation results in larger numbers of small consecutive local minima distances, but these proteins still benefit from enhanced sampling of neighboring local minima.

This enhanced sampling of near-native conformations can correspond to the BH search remaining in the same near-native region of the space; low D values could potentially cause the minimization to return to the previous minimum. In practice, this does occur for D = 1Å; however, when D > 1Å, the search returns to previous local minima the same or less frequently than the unbiased approach.

MMC versus greedy search in minimization

Table 1 compares the greedy search (T = 0) to MMC searches with T_0 , T_1 , and T_2 . Columns 7-10 show the lowest energy achieved under each setting. Three observations can be made: (i) Lower energies are obtained by MMC than the greedy search. (ii) Overall, on proteins with less than 80 amino acids, the lowest energy is achieved by MMC with T_0 . (iii) On longer proteins, the slightly higher T_1 achieves lower energies, possibly because in more complex rugged surfaces, small uphill moves allow reaching deeper minima.

The energy surface sampled by the BH framework for each given value of T is illustrated in Figure 4. The x and y-axes represent geometric projections of the conformations based on interatomic distances, and the z-axis represents the energy of each sampled local minimum. The Geometric projections are based on the mean interatomic distances between selected atoms (see [19] for more details). A large white "x" represents the location of the experimentally-determined native structure. Figure 4 illustrates that coarse-grained energy functions are noisy and result in surfaces that can deviate from the true protein energy surface. Columns 11-14 in Table 1 show, for each value of T, the lowest lRMSD to the native structure over Ω . Comparable lowest lRMSDs are obtained whether greedy or MMC search is employed in the minimization. Probing deeper into minima in the MMC-based minimization does not necessarily bring the BH search closer to the native structure.

MMC-based minimization is costly, resulting in longer minimizations and fewer sampled minima (total number of energy evaluations is fixed). Employing MMC over greedy search thus shortens the BH trajectories by 50 to 70% in terms of the number of sampled minima. Columns 11-14 in Table 1 show that a lower number of sampled minima does not necessarily correlate with worse proximity to the native state. Even at lower energy levels, the many sampled local minima can represent noise. Focusing on a smaller ensemble of

"interesting" local minima allows more computationally intensive refinement steps to focus resources more effectively. The next section outlines a method for filtering local minima to reduce the size of the ensemble Ω .

Multi-objective ensemble reduction

Multi-objective ensemble reduction proposed in the Methods section is evaluated by comparing its ability to retain near-native conformations to that of employing a threshold based on total energy. The use of the Pareto front and the Pareto count as metrics for ensemble reduction are evaluated in the "Pareto front reduction technique" and "Pareto count reduction technique" sections, respectively. To further evaluate the effectiveness of the multi-objective reduction technique, results are given for both the AMW energy function and the Rosetta coarse-grained energy function with "score3" weights. The ensembles Ω_{AMW} and $\Omega_{Rosetta}$ are generated for each target protein with the BH framework described in Methods employing unbiased perturbation and T = 0 for minimization.

The total energy for each conformation Ω is decomposed into individual energy terms described in Methods. Since multi-objective analysis is highly sensitive to the number of energy terms, the Rosetta energy terms are then combined into 5 groups so the number of terms is consistent between Ω_{AMW} and $\Omega_{Rosetta}$ in the multi-objective analysis. Grouping is done based on correlation between energy terms; more highly correlated terms are combined. In this work, the following energy term groupings are employed : {env, pair, cbeta, rg}, {vdw}, {cenpack}, {hs_pair}, {ss_pair, rsigma, sheet}. Since the terms ss_pair, rsigma, and sheet are primarily employed in the evaluation of beta sheets, their values often remain fixed for proteins without beta sheets or for proteins in which beta sheets are not accurately modeled. If one term remains fixed, then it is impossible for one conformation to dominate another using strong Pareto dominance as described in the Multi-objective ensemble reduction section. Therefore weak dominance is employed when performing multi-objective analysis on $\Omega_{Rosetta}$.

Tables 2 and 3 compare the ensemble reduced through a total energy threshold, $\Omega_{TE(n)}$, to the ensembles reduced by employing the Pareto front, Ω_{PF} , and the Pareto count, $\Omega_{PC(n)}$, for the AMW and Rosetta energy functions. The ensemble $\Omega_{TE(n)}$ is achieved by selecting a total energy threshold and removing all conformations with total energy greater than the threshold. The variable n is set to a particular percentage of Ω and a total energy threshold is chosen such that $|\Omega_{TE(n)}| = n * |\Omega|$. Recall that the ensemble $\Omega_{PC(n)}$ is constructed similarly to $\Omega_{TE(n)}$, however, the Pareto count is employed in place of total energy to rank conformations. For Ω_{PF} only conformations in the non-dominated Pareto front are retained. For $\Omega_{PC(n)}$ and $\Omega_{TE(n)}$, *n* can be set to any percentage of Ω , while the size of Ω_{PF} is dictated by the size of the Pareto front for a given Ω .

Pareto front reduction technique

Column 3 in Tables 2 and 3 shows that, when considering only conformations in the Pareto front, Ω_{PF} , the size of Ω is reduced by over 90% across all target proteins and at least 95% for the majority of proteins. This shows that the Pareto front filter is a highly effective method for efficiently reducing the size of a large ensemble of decoy conformations. The difference between the average size of Ω_{PF} employing AMW and Ω_{PF} employing Rosetta is due to the the use of strong dominance for AMW and weak dominance Rosetta.

Columns 4-6 in Tables 2 and 3 show the minimum IRMSD to the native structure of all conformations in Ω , $\Omega_{TE(n=r)}$, and Ω_{PF} , respectively. Here r is chosen such that $|\Omega_{TE(n=r)}| = |\Omega_{PF}|$, so a fair comparison can be made. While neither ensemble reduction technique is able to retain the lowest IRMSD to native conformations from Ω , comparison of columns 5 and 6 reveals that Ω_{PF} retains conformations with IRMSDs to native not higher than $\Omega_{TE(r)}$ for all but two proteins when employing the AMW energy function (Table 2) and for all proteins when employing the Rosetta energy function (Table 3). This difference in IRMSD is significant (0.5Å or greater) for proteins with native PDB ids 1fwp, 1ail, 1cc5, 2ezk, 2h5nD for AMW and 1dtdB, 1c8cA, 1ail, 1aoy, 2ezk, 1hhp, 2hg6, 2h5nD for Rosetta.

Merely looking at the minimum IRMSD to native structure retained does not tell the entire story. Figures 5(d) and 6(d) plot the energy versus IRMSD to native for each conformation in Ω for the AMW and Rosetta energy functions, respectively, for a representative protein with native PDB id 1sap. Conformations in Ω_{PF} are highlighted in dark blue and a dashed line represents the energy cutoff for $\Omega_{TE(n=r)}$. For both energy functions, Ω_{PF} retains lower IRMSD to native conformations than $\Omega_{TE(n=r)}$ and $\Omega_{TE(n=r)}$ loses significantly more of these near-native conformations. These results show that there is a clear advantage to employing the Pareto front over a total energy threshold to select conformations from Ω , and these results hold whether employing AMW or Rosetta.

Figure 6(e) represents an unusual case (illustrated by the protein with native PDB id 1hz6A) where the correlation between total energy and lRMSD to native is very high. High correlation is rarely the case for coarse-grained energy functions. We have specifically chosen to show 1hz6A here because Rosetta seems to capture well the true energy surface for this protein. For 1hz6A, a total energy threshold alone is sufficient for selecting decoy conformations with low lRMSDs, given this high correlation. In a blind prediction, the native structure is unknown and thus lRMSDs are not available. Thus, such cases are difficult to identify

and the Pareto front is still just as effective as a total energy threshold.

Pareto count reduction technique

Unlike Ω_{PF} , the size of $\Omega_{PC(n)}$ can be set for any desired value of n. Figures 5(a)-(c) (AMW energy function) and 6(a)-(c) (Rosetta energy function) show the minimum IRMSD to native for $\Omega_{PC(n)}$ (dashed red line) and $\Omega_{TE(n)}$ (solid black line) for $n \in \{1, 2, 3...100\}$ on three selected proteins with PDB ids 1sap, 1hz6A, and 2ezk. The minimum IRMSD and size of Ω_{PF} is also given for reference as a blue "X". Examination reveals that $\Omega_{PC(n)}$ retains conformations with IRMSDs to the native structure as low or lower than $\Omega_{TE(n)}$ for values of $n \leq 10\%$ for all three proteins. This result is representative of all 15 target proteins investigated in this study. Columns 7-10 of Tables 2 and 3 give the minimum IRMSD for $\Omega_{TE(n)}$ and $\Omega_{PC(n)}$ for n = 5%and n = 10% for all 15 target proteins.

Figures 5(g)-(i) and 6(g)-(i) plot the energy versus lRMSD to native for each conformation in Ω for the AMW and Rosetta energy functions, respectively, for same three representative proteins (PDB ids 1sap, 1hz6A, and 2ezk). Conformations in $\Omega_{PC(5\%)}$ and $\Omega_{PC(10\%)}$ are highlighted in blue and red, respectively. The dashed blue and red lines represent the total energy cutoffs for $\Omega_{TE(5\%)}$ and $\Omega_{TE(10\%)}$, respectively. Examination of the common case of 1sap reveals that $\Omega_{PC(n)}$ retains significantly more low-lRMSD conformations than $\Omega_{TE(n)}$ for a given value of n. In the unusual case of 1hz6A, for which total energy is highly correlated with lRMSD, $\Omega_{PC(n)}$ retains a similar range of low-lRMSD structures as $\Omega_{TE(n)}$ does.

The protein with PDB id 2ezk represents a case where Ω_{PF} is not effective at retaining low lRMSD structures. Figures 5(f) and 6(f) show that the low-lRMSD conformations retained by Ω_{PF} are outliers, particularly for the Rosetta energy function. Examination of Figures 5(i) and 6(i) reveals that, for this difficult case, $\Omega_{PC(n)}$ is still effective at sampling a range of low-lRMSD conformations. A similar results is seen for the protein with PDB id 1ail (data not shown here).

Taken together, these results show that employing multi-objective analysis to filter the output ensemble provides a distinct advantage over a total energy criterion. The ensemble size reduction is dramatic, yet non-outlier low-IRMSD conformations are still retained. In difficult cases the Pareto count metric retains low-IRMSD conformations even when the Pareto front does not.

Conclusions

This work shows that careful realizations of the BH framework can provide both rapid sampling and enhanced sampling of the protein conformational space. In addition to previous work, where a simple realization of the BH framework was shown competitive in terms of obtaining lowest IRMSDs to the native structure comparable to state-of-the-art MC-based methods [25], this work shows the high sampling capability and the diversity of the decoy ensemble obtained by BH-based algorithms. We draw attention to the ability of the algorithm to obtain many non-native conformations of low energies, which is a hallmark of algorithms with high sampling capability [46, 47].

This work provides a deeper understanding of the BH framework and its premise for obtaining an effective decoy ensemble. The two algorithmic components of the framework, perturbation and minimization, are analyzed in detail, and effective implementations are offered to control the exploration for the purpose of obtaining a diverse decoy ensemble. Results show that the distance between consecutively-sampled local minima is directly affected by the perturbation distance. Our experiments demonstrate that by biasing perturbation distance, one can enhance sampling of near-native decoys in the BH framework. Moreover, a simple greedy search was shown just as effective at sampling near-native conformations as a more computationally intensive MMC trajectory.

Employing short greedy searches for minimization is appealing, as it allows sampling a significantly larger number of local minima than longer MMC trajectories. This larger ensemble provides a broad view of lowenergy local minima in the coarse-grained energy surface, but inaccuracies in the energy function do not allow relating near-native conformations with the lowest-energy minima. To deal with this issue, we present an ensemble reduction technique based on multi-objective analysis. Metrics based on the Pareto front and Pareto count are proposed, and analysis is performed on the decoy ensemble generated by our BH framework employing either the AMW or the Rosetta coarse-grained energy functions.

For all of proteins investigated in this work, the Pareto-based reduction technique is highly effective at reducing the ensemble while still maintaining non-outlier near-native conformations. Multi-objective metrics based on Pareto dominance are an ideal choice because they can be computed online and have lower computational complexity than structure-based clustering algorithms. Future work will investigate this setting to further enhance sampling capability while retaining an informative conformational ensemble.

Competing interests

The authors declare that they have no competing interests.

Author's contributions

BSO suggested the methods and the performance study in this manuscript and drafted the manuscript. AS guided the study, provided comments and suggestions on the methods and performance evaluation, and improved the manuscript writing.

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Figures

Figure 1

Conformations are plotted with respect to two energy terms E_1 and E_2 . Conformations represented by empty blue circles are non-dominated and form the Pareto front. C_2 strongly dominates 4 conformations and weakly dominates 1 additional conformation, thus the Pareto count of C_2 is 4 for strong Pareto dominance and 5 for weak Pareto dominance.

Figure 2

The mean $\mu_{|MM|}$ is shown for a given target perturbation distance D, where $\mu_{|MM|}$ refers to the distance between two consecutively sampled local minima.

Figure 3

The frequencies of $\mu_{|MM|}$ sampled during the search for proteins with native structure PDB ids 1ail and 1isuA are shown in (a) and (c), respectively. Frequency of lRMSDs to the native structure for each protein are given in (b) and (d), respectively. The solid red line represents BH employing the unbiased perturbation method. The dashed lines represent BH with median perturbation distances D = 1Å to D = 5Å.

Figure 4

The energy surface sampled for the protein with native PDB id 1 fwp is shown for each temperature T. The x and y-axes represent projection coordinates based on interatomic distances within each conformation, and the z-axis represents the energy of each sampled local minimum. The white "x" indicates the location of the native structure in the energy surface.

Figure 5

Results for each of the proposed multi-objective ensemble filtering methods are shown for the AMW energy function on three representative proteins with native PDB ids 1sap, 1hz6A and 2ezk. (a)-(c) show the minimum lRMSD to the native structure retained from the full ensemble Ω in the reduced ensembles $\Omega_{PC(n)}$ (dashed red line) and $\Omega_{TE(n)}$ (solid black line), for a given percentage n of the conformations in Ω . The minimum lRMSD retained by Ω_{PF} is marked with a blue "X". (d)-(f) show the total energy versus lRMSD to the native structure for each conformation in the ensemble Ω . Conformations corresponding the the Pareto front, Ω_{PF} , are colored in dark blue. The dashed line represents the energy cutoff such that $|\Omega_{TE(n)}| = |\Omega_{PF}|$. In (g)-(i), conformations are colored according to their Pareto count. Conformations in $\Omega_{PC(n)}$ are colored in blue and red for n = 5% and n = 10%, respectively. The dashed lines represents the total energy cutoff for conformations in $\Omega_{TE(n)}$.

Figure 6

Results for each of the proposed multi-objective ensemble filtering methods is shown for the Rosetta coarsegrained energy function on three representative proteins with native PDB ids 1sap, 1hz6A and 2ezk. (a)-(c) show the minimum IRMSD to the experimentally determined native structure retained from the full ensemble Ω in the reduced ensembles $\Omega_{PC(n)}$ (dashed red line) and $\Omega_{TE(n)}$ (solid black line), for a given percentage n of the conformations in Ω . The minimum IRMSD retained by Ω_{PF} is marked with a blue "X". (d)-(f) show the total energy versus IRMSD to the native structure for each conformation in the ensemble Ω . Conformations corresponding the the Pareto front, Ω_{PF} , are colored in dark blue. The dashed line represents the energy cutoff such that $|\Omega_{TE(n)}| = |\Omega_{PF}|$. In (g)-(i), conformations are colored according to their Pareto count. Conformations in $\Omega_{PC(n)}$ are colored in blue and red for n = 5% and n = 10%, respectively. The dashed lines represents the total energy cutoff for conformations in $\Omega_{TE(n)}$.

TablesTable 1 - local search

Columns 2-4 show the native PDB id, size and fold topology for each of the 15 target protein systems. Columns 5 and 6 break the fold topology down as the percentage of amino acids which are part of α -helices and β -sheets. Columns 7-10 report the minimum energy achieved for each temperature T of the minimization component of the BH framework. Columns 11-14 then report the corresponding lowest lRMSD to the native structure achieved for each T.

	Native					Lowest Energy (kcal/mol)				Lowest lRMSD (Å)			
	PDB id	Size	fold	$\% \alpha$	$\% \ \beta$	T = 0	T_0	T_1	T_2	T = 0	T_0	T_1	T_2
1	1dtdB	61	α/eta	15	46	-128.2	-132.1	-131.6	-127.9	6.9	6.6	6.9	7.0
2	1isuA	62	lpha/eta	15	19	-127.8	-130.3	-130.7	-130.2	6.3	6.0	6.4	6.0
3	1c8cA	64	lpha/eta	22	48	-133.5	-134.8	-130.8	-129.6	6.5	6.6	7.4	7.3
4	1sap	66	lpha/eta	30	44	-132.8	-132.3	-133.6	-127.3	6.5	6.0	6.8	6.9
5	1hz6A	67	lpha/eta	31	42	-143.5	-144.7	-142.1	-138.9	5.7	5.9	6.0	6.0
6	1wapA	68	β	0	62	-118.4	-127.2	-133.9	-127.9	7.4	7.6	7.4	7.5
7	1fwp	69	lpha/eta	30	26	-152.8	-152.0	-143.5	-143.2	6.3	6.7	6.5	6.1
8	1ail	70	α	84	0	-170.6	-171.0	-167.3	-168.4	3.2	3.2	3.4	3.3
9	1aoy	78	α/eta	41	10	-183.9	-181.2	-180.8	-184.1	5.7	6.4	6.0	6.4
10	1cc5	83	α	47	4	-170.9	-171.5	-179.1	-173.8	5.8	5.7	5.8	5.8
11	2ezk	93	α	68	0	-217.3	-218.6	-224.4	-216.0	4.3	4.6	4.2	4.4
12	1hhp	99	β	7	48	-168.7	-175.4	-179.0	-175.9	10.4	10.4	10.0	10.5
13	2hg6	106	α/eta	34	21	-233.6	-236.8	-239.5	-235.1	8.8	9.0	8.8	9.2
14	3gwl	106	α	70	0	-264.6	-270.4	-273.9	-267.3	4.9	4.9	4.4	5.2
15	2h5nD	123	α	71	2	-307.8	-313.0	-316.5	-313.2	7.5	7.9	7.4	8.1

Table 2 - AMW multi-objective reduction technique

The minimum lRMSD to the native structure retained by each of the proposed multi-objective ensemble reduction techniques is given for the Ω generated with the AMW energy function. Column 3 gives the size of the Pareto front as a percentage of the size of Ω . Column 4 gives the minimum lRMSD to the native structure of any conformation in the Ω . Columns 5 and 6 give minimum lRMSD retained by $\Omega_{TE(r)}$ and Ω_{PF} , respectively, where r is the corresponding value from Column 3. Columns 7-10 compare the minimum lRMSD retained by $\Omega_{TE(n)}$ and $\Omega_{PC(n)}$ for thresholds of n = 5% and n = 10%.

AMW Energy Function											
	Native	Ω_{PF} reduction	Minimum lRMSD (Å)								
	PDB Id	$(r = \Omega_{PF} / \Omega)$	Ω	$\Omega_{TE(r)}$	Ω_{PF}	$\Omega_{TE(5\%)}$	$\Omega_{TE(10\%)}$	$\Omega_{PC(5\%)}$	$\Omega_{PC(10\%)}$		
1	1dtdB	4%	7.2	7.9	7.7	7.9	7.7	7.7	7.7		
2	1isuA	7%	6.0	6.2	6.5	6.4	6.2	6.2	6.2		
3	1c8cA	4%	7.4	7.5	7.5	7.5	7.5	7.5	7.5		
4	1sap	2%	6.5	7.6	7.5	7.4	7.2	7.4	7.2		
5	1hz6A	2%	5.9	6.7	6.3	6.7	6.7	6.7	6.6		
6	1wapA	2%	7.7	8.7	8.7	8.7	8.7	8.7	8.7		
7	1fwp	7%	6.4	8.1	7.3	8.1	8.1	8.1	8.1		
8	1ail	2%	3.4	6.8	5.9	5.8	4.2	4.7	4.4		
9	1aoy	6%	5.7	6.9	6.6	6.9	6.5	6.8	6.5		
10	1cc5	7%	5.6	8.6	7.0	8.7	8.6	8.6	8.1		
11	2ezk	3%	4.4	8.0	7.3	7.7	7.1	7.2	7.1		
12	1hhp	1%	10.7	12.0	12.0	11.6	11.6	11.6	10.8		
13	2hg6	6%	8.6	10.8	10.5	11.6	10.8	10.9	10.8		
14	3gwl	5%	4.2	4.7	5.2	4.7	4.7	4.7	4.7		
15	2h5nD	7%	7.9	10.7	10.0	10.8	10.4	10.4	10.4		

Table 3 - Rosetta multi-objective reduction technique

The minimum lRMSD to the native structure retained by each of the proposed multi-objective ensemble reduction techniques is given for the Ω generated with the Rosetta energy function. Column 3 gives the size of the Pareto front as a percentage of the size of Ω . Column 4 gives the minimum lRMSD to the native structure of any conformation in the Ω . Columns 5 and 6 give minimum lRMSD retained by $\Omega_{TE(r)}$ and Ω_{PF} , respectively, where r is the corresponding value from Column 3. Columns 7-10 compare the minimum lRMSD retained by $\Omega_{TE(n)}$ and $\Omega_{PC(n)}$ for thresholds of n = 5% and n = 10%.

	Rosetta Energy Function										
	Native	Ω_{PF} reduction	Minimum lRMSD (Å)								
	PDB Id	$(r = \Omega_{PF} / \Omega)$	Ω	$\Omega_{TE(r)}$	Ω_{PF}	$\Omega_{TE(5\%)}$	$\Omega_{TE(10\%)}$	$\Omega_{PC(5\%)}$	$\Omega_{PC(10\%)}$		
1	1dtdB	1%	6.7	10.8	9.1	10.6	10.2	10.2	8.6		
2	1isuA	2%	6.5	8.9	8.6	8.9	8.6	8.0	7.5		
3	1c8cA	2%	5.6	7.9	7.1	7.8	7.0	7.1	6.8		
4	1sap	3%	6.1	7.4	7.1	7.4	6.8	6.8	6.6		
5	1hz6A	3%	2.5	2.8	2.8	2.8	2.6	2.7	2.6		
6	1wapA	1%	7.4	8.8	8.8	8.5	8.5	8.8	8.1		
7	1fwp	3%	6.1	7.2	7.0	7.1	7.1	7.2	6.9		
8	1ail	>1%	4.8	8.2	6.2	7.6	7.5	7.5	6.9		
9	1aoy	2%	6.2	10.1	9.1	9.2	9.2	9.3	9.2		
10	1cc5	1%	5.0	6.3	6.3	5.7	5.7	5.5	5.4		
11	2ezk	1%	3.9	9.1	6.2	5.2	5.1	5.1	4.9		
12	1hhp	3%	10.8	13.9	12.6	13.9	13.6	13.0	12.9		
13	2hg6	2%	10.6	12.2	11.5	12.0	12.0	12.0	11.7		
14	3gwl	1%	7.1	8.9	8.5	8.7	8.4	8.0	7.8		
15	2h5nD	1%	8.9	13.0	10.4	12.3	12.1	12.2	11.4		



Figure 1:



Figure 2:



Figure 3:



Figure 4:



Figure 5:



Figure 6: