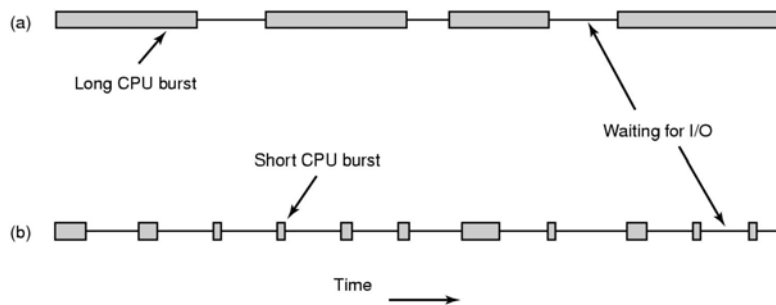


CPU Scheduling

CS 571

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CPU - I/O Burst Cycle



- Bursts of CPU usage alternate with periods of I/O wait
 - a CPU-bound process
 - an I/O bound process

2

Basic Concepts

- CPU–I/O Burst Cycle – Process execution consists of a *cycle* of CPU execution and I/O wait.
- Maximum CPU utilization obtained with **multiprogramming**

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CPU Scheduler

- Selects from among the processes in memory that are ready to execute, and allocates the CPU to one of them.
- CPU scheduling decisions may take place when a process:
 1. Switches from running to waiting state.
 2. Switches from running to ready state.
 3. Switches from waiting to ready.
 4. Terminates.
- Scheduling under 1 and 4 is *nonpreemptive*.
- All other scheduling is *preemptive*.

4

Scheduling Metrics

- CPU utilization – keep the CPU as busy as possible
- Throughput – # of processes that complete their execution per time unit
- Turnaround/Response time – amount of time to execute a particular process
- Waiting time – amount of time a process has been waiting in the ready queue

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Scheduling Algorithm Goals

All systems

- Fairness - giving each process a fair share of the CPU
- Policy enforcement - seeing that stated policy is carried out
- Balance - keeping all parts of the system busy

Batch systems

- Throughput - maximize jobs per hour
- Turnaround time - minimize time between submission and termination
- CPU utilization - keep the CPU busy all the time

Interactive systems

- Response time - respond to requests quickly
- Proportionality - meet users' expectations

Real-time systems

- Meeting deadlines - avoid losing data
- Predictability - avoid quality degradation in multimedia systems

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Dispatcher

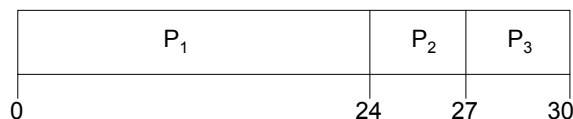
- Dispatcher module gives control of the CPU to the process selected by the scheduler; this involves:
 - switching context
 - switching to user mode
 - jumping to the proper location in the user program to restart that program
- *Dispatch latency* – time it takes for the dispatcher to stop one process and start another running.

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First-Come, First-Served (FCFS) Scheduling

<u>Process</u>	<u>Burst Time</u>
P_1	24
P_2	3
P_3	3

- Suppose that the processes arrive in the order: P_1 , P_2 , P_3
The Gantt Chart for the schedule is:



- Waiting time for $P_1 = 0$; $P_2 = 24$; $P_3 = 27$
- Average waiting time: $(0 + 24 + 27)/3 = 17$

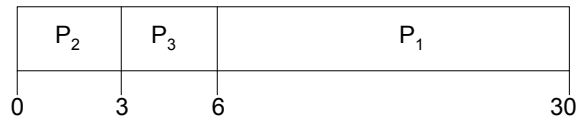
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FCFS Scheduling (Cont.)

Suppose that the processes arrive in the order

$$P_2, P_3, P_1.$$

- The Gantt chart for the schedule is:



- Waiting time for $P_1 = 6$; $P_2 = 0$; $P_3 = 3$
- Average waiting time: $(6 + 0 + 3)/3 = 3$
- Much better than previous case.
- *Convoy effect* short process behind long process

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Shortest-Job-First (SJF) Scheduling

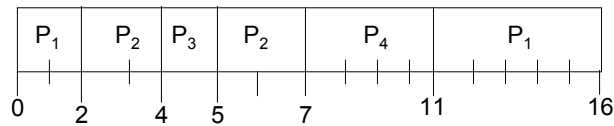
- Associate with each process the length of its next CPU burst. Use these lengths to schedule the process with the shortest time.
- Two schemes:
 - nonpreemptive – once CPU given to the process it cannot be preempted until completes its CPU burst.
 - preemptive – if a new process arrives with CPU burst length less than remaining time of current executing process, preempt. This scheme is known as the Shortest-Remaining-Time-First (SRTF).
- SJF is optimal – gives minimum average waiting time for a given set of processes.

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Example of Preemptive SJF

<u>Process</u>	<u>Arrival Time</u>	<u>Burst Time</u>
P_1	0.0	7
P_2	2.0	4
P_3	4.0	1
P_4	5.0	4

- SJF (preemptive)



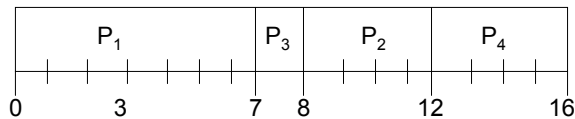
- Average waiting time = $(9 + 1 + 0 + 2)/4 = 3$

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Example of Non-Preemptive SJF

<u>Process</u>	<u>Arrival Time</u>	<u>Burst Time</u>
P_1	0.0	7
P_2	2.0	4
P_3	4.0	1
P_4	5.0	4

- SJF (non-preemptive)



- Average waiting time = $(0 + 6 + 3 + 7)/4 = 4$

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Determining Length of Next CPU Burst

- Can only **estimate** the length.
- Can be done by using the length of previous CPU bursts, using exponential averaging.
 1. t_n = actual length of n^{th} CPU burst
 2. τ_{n+1} = predicted value for the next CPU burst
 3. α , $0 \leq \alpha \leq 1$
 4. Define :

$$\tau_{n+1} = \alpha t_n + (1 - \alpha)\tau_n$$

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Examples of Exponential Averaging

- $\alpha = 0$
 - $\tau_{n+1} = \tau_n$
 - Recent history does not count.
- $\alpha = 1$
 - $\tau_{n+1} = t_n$
 - Only the actual last CPU burst counts.
- If we expand the formula, we get:
$$\tau_{n+1} = \alpha t_n + (1 - \alpha) \alpha t_{n-1} + \dots$$
$$+ (1 - \alpha)^j \alpha t_{n-j} + \dots$$
$$+ (1 - \alpha)^{n+1} \tau_0$$
- Since both α and $(1 - \alpha)$ are less than or equal to 1, each successive term has less weight than its predecessor.

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Priority Scheduling

- A priority number (integer) is associated with each process
- The CPU is allocated to the process with the highest priority (smallest integer \equiv highest priority).
 - Preemptive
 - Non-preemptive
- SJF is a priority scheduling where priority is the predicted next CPU burst time.
- Problem \equiv Starvation – low priority processes may never execute.
- Solution \equiv Aging – as time progresses increase the priority of the process.

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Round Robin (RR)

- Each process gets a small unit of CPU time (*time quantum*), usually 10-100 milliseconds. After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are n processes in the ready queue and the time quantum is q , then each process gets $1/n$ of the CPU time in chunks of at most q time units at once. No process waits more than $(n-1)q$ time units.
- Performance
 - q large \Rightarrow FIFO
 - q small $\Rightarrow q$ must be large with respect to context switch, otherwise overhead is too high.

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Example of RR with Time Quantum = 20

<u>Process</u>	<u>Burst Time</u>
P_1	53
P_2	17
P_3	68
P_4	24

- The Gantt chart is:

P ₁	P ₂	P ₃	P ₄	P ₁	P ₃	P ₄	P ₁	P ₃	P ₃	
0	20	37	57	77	97	117	121	134	154	162

Typically, higher average turnaround than SJF, but better *interactive response*.

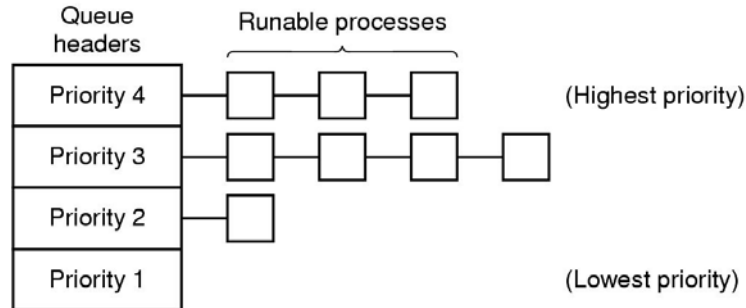
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Multilevel Queue

- Ready queue is partitioned into separate queues: e.g., foreground (interactive), background (batch)
- Each queue has its own scheduling algorithm, e.g., foreground – RR, background – FCFS
- Scheduling must be done between the queues.
 - Fixed priority scheduling; (i.e., serve all from foreground then from background). Possibility of starvation.
 - Time slice – each queue gets a certain amount of CPU time which it can schedule amongst its processes; e.g., 80% to foreground in RR, 20% to background in FCFS

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Multi-queue priority scheduling



A scheduling algorithm with four priority classes

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Multilevel Feedback Queue

- A process can move between the various queues;
 - aging can be implemented this way.
- Multilevel-feedback-queue scheduler defined by the following parameters:
 - number of queues
 - scheduling algorithms for each queue
 - method used to determine when to upgrade a process
 - method used to determine when to demote a process
 - method used to determine which queue a process will enter when that process needs service

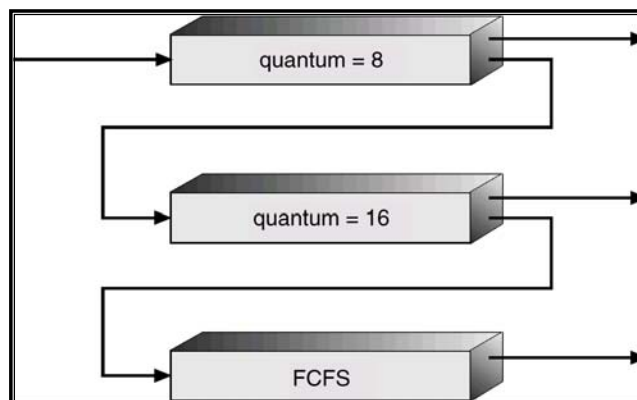
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Example of Multilevel Feedback Queue

- Three queues:
 - Q_0 – time quantum 8 milliseconds
 - Q_1 – time quantum 16 milliseconds
 - Q_2 – FCFS
- Scheduling
 - A new job enters queue Q_0 which is served FCFS. When it gains CPU, job receives 8 milliseconds. If it does not finish in 8 milliseconds, job is moved to queue Q_1 .
 - At Q_1 job is again served FCFS and receives 16 additional milliseconds. If it still does not complete, it is preempted and moved to queue Q_2 .

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Multilevel Feedback Queues



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Multiple-Processor Scheduling

- CPU scheduling more complex when multiple CPUs are available.
- *Homogeneous processors* within a multiprocessor.
- *Load sharing*

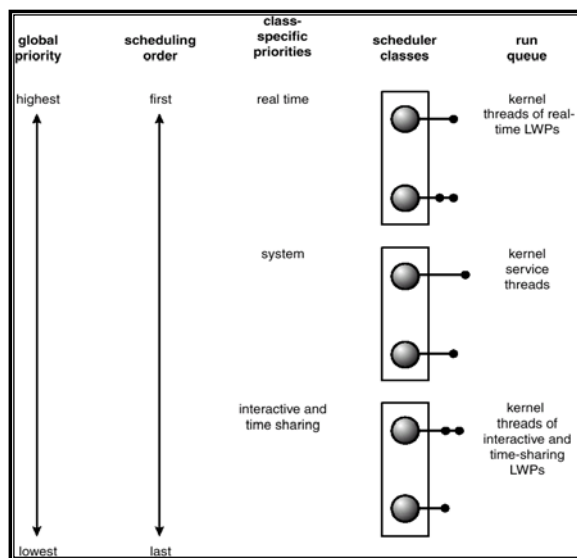
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Real-Time Scheduling

- *Hard real-time* systems – required to complete a critical task within a guaranteed amount of time.
- *Soft real-time* computing – requires that critical processes receive priority over less fortunate ones.

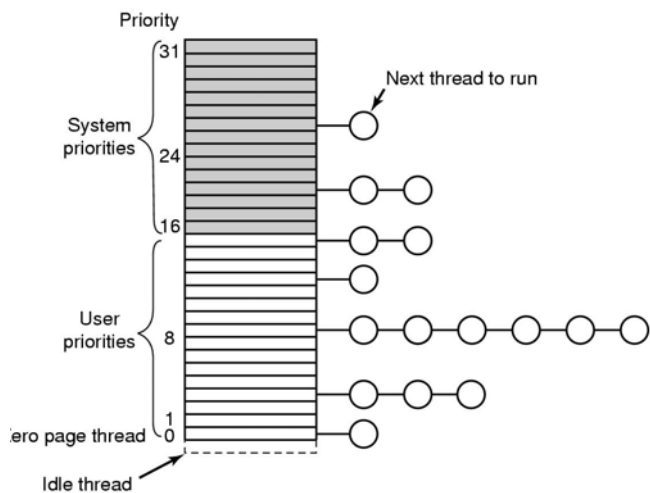
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Solaris 2 Scheduling



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Windows 2000



Windows 2000 supports 32 priorities for threads

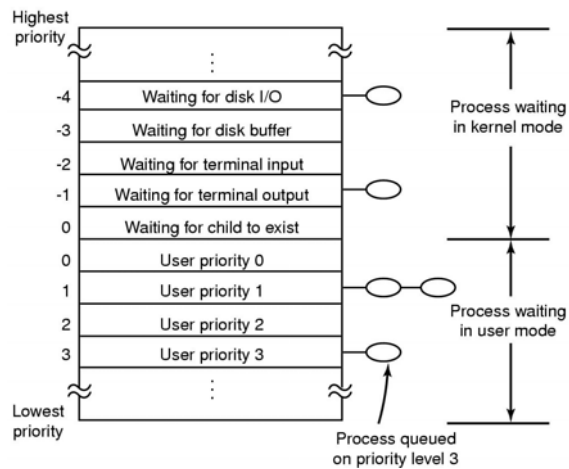
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Windows 2000 Priorities

	real-time	high	above normal	normal	below normal	idle priority
time-critical	31	15	15	15	15	15
highest	26	15	12	10	8	6
above normal	25	14	11	9	7	5
normal	24	13	10	8	6	4
below normal	23	12	9	7	5	3
lowest	22	11	8	6	4	2
idle	16	1	1	1	1	1

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UNIX Scheduler



The UNIX scheduler is based on a multilevel queue structure

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