

Week 7

# BETWEEN and AND operators

- The **BETWEEN** and **AND** operator selects a range of data between two values.
- These values can be numbers, text, or dates.

# **BETWEEN** and **AND** Example

*Find the names of sailors whose age is between 25 and 35* 

SELECT S.sname FROM Sailors S WHERE S.age BETWEEN 25 AND 35;

## ANY and ALL operators

Find sailors whose rating is better than some sailor named Horatio

SELECT S.sid FROM Sailors S WHERE S.rating > ANY (SELECT S2.rating FROM Sailors S2 WHERE S2.sname= 'Horatio' );

(Can you find the probable bug in this SQL query??) Hint: what if there are several sailors named Horatio?

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# Using ALL operator

*Find sailors whose rating is better than every sailor named Horatio* 

SELECT S.sid FROM Sailors S WHERE S.rating > ALL(SELECT S2.rating FROM Sailors S2 WHERE S2.sname= 'Horatio' );

# Post Processing

- Processing on the result of an SQL query:
  - Sorting: can sort the tuples in the output by any column (even the ones not appearing in the SELECT clause)
  - Duplicate removal
  - Example: SELECT DISTINCT S.sname
     FROM Sailors S, Reserves R
     WHERE S.sid=R.sid AND R.bid=103
     ORDER BY S.sid ASC, S.sname DESC;
- Aggregation operators

# Aggregate operators

- What is aggregation?
  - Computing arithmetic expressions, such as
     Minimum or Maximum
- The aggregate operators supported by SQL are: COUNT, SUM, AVG, MIN, MAX

# Aggregate Operators

- **COUNT**(A): The number of values in the column A
- **SUM**(A): The sum of all values in column A
- **AVG**(A): The average of all values in column A
- MAX(A): The maximum value in column A
- MIN(A): The minimum value in column A

(We can use DISTINCT with COUNT, SUM and AVG to compute only over non-duplicated columns)

# Using the COUNT operator

Count the number of sailors

SELECT COUNT (\*) FROM Sailors S;

# Example of SUM operator

Find the sum of ages of all sailors with a rating of 10

SELECT SUM (S.age) FROM Sailors S WHERE S.rating=10;

## Example of AVG operator

Find the average age of all sailors with rating 10

SELECT AVG (S.age) FROM Sailors S WHERE S.rating=10;

## Example of MAX operator

Find the name and age of the oldest sailor

#### SELECT S.sname, MAX(S.age) FROM Sailors S;

But this is illegal in SQL!!

# Correct SQL Query for MAX

SELECT S.sname, S.age FROM Sailors S WHERE S.age = (SELECT MAX(S2.age) FROM Sailors S2);

### Alternatively...

SELECT S.sname, S.age FROM Sailors S WHERE ROWNUM <= 1 ORDER BY S.age DESC;

# Another Aggregate Query

Count the number of different sailor names

#### SELECT COUNT (DISTINCT S.sname) FROM Sailors S

# **Banking Examples**

*branch (<u>branch-id</u>, branch-city, assets)* 

customer (customer-id, customer-name, customer-city)

account (account-number, branch-id, balance)

loan (loan-number, branch-id, amount)

depositor (customer-id, account-number)

borrower (customer-id, loan-number)

# IN...Example 1

*"Find the account numbers opened at branches of the bank in Fairfax"* 

### IN...Example 2

*"Find the account numbers opened at branches 101 and 102 of the bank"* 

#### EXISTS

The *EXISTS* predicate is TRUE if and only if the Subquery returns a non-empty set.

The *NOT EXISTS* predicate is TRUE if and only if the Subquery returns an empty set.

The *NOT EXISTS* can be used to implement the SET DIFFERENCE operator from relational algebra.

# EXISTS...Example 1

"Select all the account balances where the account has been opened in a branch in Fairfax"

What about "... has not been opened.."?

# EXISTS...Example 2

"Find customers who opened accounts in all branches in Fairfax"

# EXISTS...Example 2

"Find customers who opened accounts in all branches in Fairfax"

SELECT C.customer-id

FROM customer C

WHERE NOT EXISTS (SELECT B.branch-id

FROM branch B WHERE B.branch-city= 'Fairfax' EXCEPT SELECT A.branch-id FROM depositor D, account A WHERE D.customer-id = C.customer-id AND D.account-number = A.account-number)

# Quantified Comparison Predicate (ANY/ALL) Example

"Select account numbers of the accounts with the minimum balance"

# Aggregate Functions in SQL... revisited

SQL provides five built-in aggregate functions that operate on sets of column values in tables:

COUNT(), MAX(), MIN(), SUM(), AVG().

With the exception of *COUNT()*, these set functions must operate on sets that consist of simple values-that is, sets of numbers or sets of character strings, rather than sets of rows with multiple values.

# Aggregate Functions in SQL Example 1

"Select the total amount of balance of the account in branches located in Fairfax"

# Aggregate Functions in SQL Example 2

"Select the total number of opened accounts"

# Value functions

- Values can be transformed before aggregated: Select sum(S.A/2) from S;
- An interesting decode function (Oracle specific): decode(value, if1, then1, if2, then2, ..., else):

Select sum(decode(major, 'CS', 1, 0)) as Num\_CS\_Stu, sum(decode(major, 'CS', 0, 1)) as Num\_NonCS\_Stu From student ;

```
if (major == 'CS')
  result = 1;
else
  result = 0;
```

## Value functions

• Example:

**Transcript** (*sid*:integer, *Dept*:string, *Course\_no*:integer, *Grade*:{'A','B','C','F'}) Write a query to compute a given student's GPA

# GROUP BY and HAVING

- So far, we've applied aggregate operators to all (qualifying) tuples. Sometimes, we want to apply them to each of several *groups* of tuples.
- Consider: *Find the age of the youngest sailor for each rating level.* 
  - In general, we don't know how many rating levels exist, and what the rating values for these levels are!
  - Suppose we know that rating values go from 1 to 10; we can write 10 queries that look like this (!):

For *i* = 1, 2, ..., 10:

SELECT MIN (S.age) FROM Sailors S WHERE S.rating = *i* 

### Queries With GROUP BY and HAVING

SELECT[DISTINCT] target-listFROMrelation-listWHEREqualificationGROUP BYgrouping-listHAVINGgroup-qualification

- The *target-list* contains (i) attribute names (ii) terms with aggregate operations (e.g., MIN (*S.age*)).
  - The <u>attribute list (i)</u> must be a subset of *grouping-list*. Intuitively, each answer tuple corresponds to a *group*, and these attributes must have a single value per group. (A *group* is a set of tuples that have the same value for all attributes in *grouping-list*.)

# Conceptual Evaluation

- The cross-product of *relation-list* is computed, tuples that fail *qualification* are discarded, `*unnecessary*' fields are deleted, and the remaining tuples are partitioned into groups by the value of attributes in *grouping-list*.
- The *group-qualification* is then applied to eliminate some groups. Expressions in *group-qualification* must have a *single value per group*!
  - In effect, an attribute in *group-qualification* that is not an argument of an aggregate op also appears in *grouping-list*. (SQL does not exploit primary key semantics here!)
- One answer tuple is generated per qualifying group.

#### Find the age of the youngest sailor with age $\geq 18$ , for each rating with at least 2 <u>such</u> sailors

SELECT S.rating, MIN (S.age)
FROM Sailors S
WHERE S.age $\geq 18$
GROUP BY S.rating
HAVING COUNT $(*) > 1$

- Only S.rating and S.age are mentioned in the SELECT, GROUP BY or HAVING clauses; other attributes `*unnecessary*'.
- 2nd column of result is unnamed. (Use AS to name it.)

	sid s		sname		rating		age
	22 dust		lustir	1		7	45.0
	31	1	ubbe	r		8	55.5
	71	Z	zorba			10	16.0
	64 horatio			0		7	35.0
	29 bruti			5		1	33.0
	58 I		rusty			10	35.0
	rating	-	age				
	1		33.0				
	7		45.0			rating	
	7		35.0	35.0		7	35.0
	8		55.5				<b>/</b>
10		35.0		A	nswer	relation	

# For each red boat, find the number of reservations for this boat

SELECT B.bid, COUNT (\*) AS scount FROM Boats B, Reserves R WHERE R.bid=B.bid AND B.color= 'red' GROUP BY B.bid

- Grouping over a join of two relations.
- What do we get if we remove *B.color= 'red'* from the WHERE clause and add a HAVING clause with this condition?

	Can be rewritten using EVERY in HAVING:
SELECT B.bid, COUNT (*) AS scount	SELECT B.bid, COUNT (*) AS scount
FROM Boats B, Reserves R	FROM Boats B, Reserves R
WHERE R.bid=B.bid	WHERE R.bid=B.bid
GROUP BY B.bid	GROUP BY B.bid
HAVING B.color= 'red'	HAVING EVERY(B.color= 'red' )8

Find the age of the youngest sailor with age  $\geq 18$ , for each rating with at least 2 sailors (of any age)

SELECT S.rating, MIN (S.age) FROM Sailors S WHERE S.age >= 18 GROUP BY S.rating HAVING 1 < (SELECT COUNT (\*) FROM Sailors S2 WHERE S.rating=S2.rating)

- Shows HAVING clause can also contain a subquery.
- Compare this with the query where we considered only ratings with 2 sailors over 18!

# Find those ratings for which the average age is the minimum over all ratings

• Aggregate operations cannot be nested!

WRONG:

SELECT S.rating FROM Sailors S WHERE S.age = (SELECT MIN (AVG (S2.age)) FROM Sailors S2)

Correct solution in SQL/92 (but does not work in Oracle):

SELECT Temp.rating, Temp.avgage FROM (SELECT S.rating, AVG (S.age) AS avgage FROM Sailors S GROUP BY S.rating) AS Temp WHERE Temp.avgage = (SELECT MIN (Temp.avgage) FROM Temp)

# Continue from previous

However, this should work on Oracle 8 (or later):

SELECT S.rating FROM Sailors S GROUP BY S.rating HAVING AVG(S.age) = (SELECT MIN (AVG (S2.age)) FROM Sailors S2 Group by rating);

Can use nested aggregates with Group By

# Null Values

- We use *null* when the column value is either *unknown* or *inapplicable*.
- A comparison with at least one null value always returns *unknown*.
- SQL also provides a special comparison operator *IS NULL* to test whether a column value is *null*.
- To incorporate nulls in the definition of duplicates we define that two rows are duplicates if corresponding rows are equal or both contain *null*.

# Deal with the null value

- Special operators needed to check if value is/is not *null*.
  - "is null" always true or false (never unknown)
  - "is not null"
- Is *rating*>8 true or false when *rating* is equal to *null*?
  - Actually, it's unknown.
  - Three-valued logic

# Three valued logic

AND	False	True	Unknown
False	False	False	False
True	False	True	Unknown
Unknown	False	Unknown	Unknown

OR	False	True	Unknown	
False	False	True	Unknown	
True	True	True	True	
Unknown	Unknown	True	Unknown	

	NOT
False	True
True	False
Unknown	Unknown

# Other issues with the null value

- WHERE and HAVING clause eliminate rows that don't evaluate to true (i.e., rows evaluate to false or unknown).
- Aggregate functions ignore nulls (except count(\*))
- DISTINCT treats all nulls as the same

# Outer Joins

- Let R and S be two tables. The outer join preserves the rows of R and S that have no matching rows according to the join condition and outputs them with nulls at the non-applicable columns.
- There exist three different variants: *left outer join*, *right outer join* and *full outer join*.

# Outer joins

sid	sname	rating	age	(1.0	sid	bid	<u>day</u>
22	dustin	7	45.0	(left outer-join)	22	101	10/10/96
31	lubber	8	55.5		58	103	11/12/96
58	rusty	10	35.0		00	100	11/12/90

—	sid	sname	rating	age	bid	day
	22	dustin	7	45.0	101	10/10/96
	31	lubber	8	55.5	Null	Null
	58	rusty	10	35.0	103	11/12/96

# In Oracle

Select \* From Sailor S, Reserve R Where S.sid = R.sid(+);

# How about:

Select S.sid, count(R.bid) From Sailor S, Reserve R Where S.sid = R.sid(+) Group by S.sid;

# **O**R

Select S.sid, count(\*) From Sailor S, Reserve R Where S.sid = R.sid(+) Group by S.sid;

# More outer joins

• Left outer join

+ sign on the right in Oracle:

Select \* from R, S where R.id=S.id(+)

• Right outer join

+ sign on the left in Oracle:

Select \* from R, S where R.id(+)=S.id

- Full outer join
  - not implemented in Oracle 8
  - Added for Oracle 9 (or later)
    - Use full text instead of +' s: "full outer join", "left outer join", "right outer join", "inner join"

# Overall:

# Conceptual order in query evaluation

- First the relational products of the tables in the *FROM* clause are evaluated.
- From this, rows not satisfying the *WHERE* clause are eliminated.
- The remaining rows are grouped in accordance with the *GROUP BY* clause.
- Groups not satisfying the *HAVING* clause are then eliminated.
- The expressions in the *SELECT* list are evaluated.
- If the keyword *DISTINCT* is present, duplicate rows are now eliminated.
- Evaluate UNION, INTERSECT and EXCEPT for Subqueries up to this point.
- Finally, the set of all selected rows is sorted if the ORDER BY is present.