# Relational Algebra 1 

Week 4

## Relational Query Languages

- Query languages: Allow manipulation and retrieval of data from a database.
- Relational model supports simple, powerful QLs:
- Strong formal foundation based on logic.
- Allows for much optimization.
- Query Languages != programming languages!
- QLs not expected to be "Turing complete".
- QLs not intended to be used for complex calculations.
- QLs support easy, efficient access to large data sets.


## Formal Relational Query Languages

Two mathematical Query Languages form the basis for "real" languages (e.g. SQL), and for implementation:
(1) Relational Algebra: More operational, very useful for representing execution plans.
(2) Relational Calculus: Lets users describe what they want, rather than how to compute it. (Nonoperational, declarative.)

- Understanding Algebra is key to understanding SQL, and query processing!


## The Role of Relational Algebra in a DBMS



## Algebra Preliminaries

- A query is applied to relation instances, and the result of a query is also a relation instance.
- Schemas of input relations for a query are fixed (but query will run regardless of instance!)
- The schema for the result of a given query is also fixed! Determined by definition of query language constructs.


## Relational Algebra

- Procedural language
- Five basic operators
- selection
- projection
- union
- set difference
- Cross product

SQL is closely based on relational algebra.
select
project
(why no intersection?)
difference
Cartesian product

- The are some other operators which are composed of the above operators. These show up so often that we give them special names.
- The operators take one or two relations as inputs and give a new relation as a result.


## Select Operation - Example

- Relation $r$

| $\boldsymbol{A}$ | $\boldsymbol{B}$ | $\boldsymbol{C}$ | $\boldsymbol{D}$ |
| :---: | :---: | :---: | :---: |
| $\alpha$ | $\alpha$ | 1 | 7 |
| $\alpha$ | $\beta$ | 5 | 7 |
| $\beta$ | $\beta$ | 12 | 3 |
| $\beta$ | $\beta$ | 23 | 10 |

- $\sigma_{\mathrm{A}=\mathrm{B}} \wedge \mathrm{D}>5(r)$

| $\boldsymbol{A}$ | $\boldsymbol{B}$ | $\boldsymbol{C}$ | $\boldsymbol{D}$ |
| :---: | :---: | :---: | :---: |
| $\alpha$ | $\alpha$ | 1 | 7 |
| $\beta$ | $\beta$ | 23 | 10 |

Intuition: The select operation allows us to retrieve some rows of a relation (by "some" I mean anywhere from none of them to all of them)

Here I have retrieved all the rows of the relation $r$ where the value in field $A$ equals the value in field $B$, and the value in field $D$ is greater than 5.

## Select Operation

- Notation: $\sigma_{p}(r)$
- $p$ is called the selection predicate
- Defined as:

$$
\sigma_{p}(\boldsymbol{r})=\{t \mid t \in r \text { and } p(t)\}
$$

Where $p$ is a formula in propositional calculus consisting of terms connected by : $\wedge($ and $), \vee(\mathbf{o r}), \neg($ not $)$ Each term is one of:

$$
<\text { attribute }>o p \quad<\text { attribute }>\text { or }<\text { constant }>
$$

where $o p$ is one of: $=, \neq,>, \geq,<, \leq$

- Example of selection:

$$
\sigma_{\text {name }} \text { 'Lee'(professor) }
$$

## Project Operation - Example I

- Relation $r$ :

| $\boldsymbol{A}$ | $\boldsymbol{B}$ | $\boldsymbol{C}$ |
| :---: | :---: | :---: |
| $\alpha$ | 10 | 7 |
| $\alpha$ | 20 | 1 |
| $\beta$ | 30 | 1 |
| $\beta$ | 40 | 2 |

Intuition: The project operation allows us to retrieve some columns of a relation (by "some" I mean anywhere from none of them to all of them)


| $\boldsymbol{A}$ | $\boldsymbol{C}$ |
| :--- | :--- |
| $\alpha$ | 7 |
| $\alpha$ | 1 |
| $\beta$ | 1 |
| $\beta$ | 2 |

Here I have retrieved columns $A$ and $C$.

## Project Operation - Example II

- Relation $r: \quad$| $\boldsymbol{A}$ | $\boldsymbol{B}$ | $\boldsymbol{C}$ |
| :---: | :---: | :---: |
| $\alpha$ | 10 | 1 |
| $\alpha$ | 20 | 1 |
| $\beta$ | 30 | 1 |
| $\beta$ | 40 | 2 |

Intuition: The project operation removes duplicate rows, since relations are sets.

| $\boldsymbol{A}$ | $\boldsymbol{C}$ |
| :---: | :---: | :---: |
| $\boldsymbol{A}_{\mathrm{A}, \mathrm{C}}(r)$ |  |
| $\alpha$ | 1 |
| $\alpha$ | 1 |
| $\beta$ | 1 |
| $\beta$ | 2 |$=$| $\boldsymbol{A}$ | $\boldsymbol{C}$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\beta$ | 1 |
| $\beta$ | 2 |

Here there are two rows with $A=\alpha$ and $C=1$. So one was discarded.

## Project Operation

- Notation:

$$
\pi_{\mathrm{A} 1, \mathrm{~A} 2, \ldots, A k}(r)
$$ relation name.

- The result is defined as the relation of $k$ columns obtained by erasing the columns that are not listed
- Duplicate rows removed from result, since relations are sets.


## Union Operation - Example

Relations $r, s:$\begin{tabular}{|c|c|}
\hline $\boldsymbol{A}$ \& $\boldsymbol{B}$ <br>
\hline \hline$\alpha$ \& 1 <br>
$\alpha$ \& 2 <br>
$\beta$ \& 1 <br>
\hline

$\quad$

\hline $\boldsymbol{A}$ \& $\boldsymbol{B}$ <br>

\hline \hline \& \multicolumn{2}{|c|}{| $\alpha$ |
| :---: |
| $\beta$ |
| $\beta$ |} \& 2 <br>

\hline
\end{tabular}

Intuition: The union
operation concatenates two relations, and removes

| $\boldsymbol{A}$ | $\boldsymbol{B}$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\alpha$ | 2 |
| $\beta$ | 1 |
| $\beta$ | 3 | duplicate rows (since relations are sets).

Here there are two rows with $A=\alpha$ and $B=2$. So one was discarded.

## Union Operation

- Notation: $r \cup s$
- Defined as:

$$
r \cup s=\{t \mid t \in r \text { or } t \in s\} \quad \text { "Union-compatible" }
$$

For $r \cup s$ to be valid.

1. $r, s$ must have the same arity (same number of attributes)
2. The attribute domains must be compatible (e.g., $2^{\text {nd }}$ column of $r$ deals with the same type of values as does the 2 nd column of $s$ ).

Although the field types must be the same, the names can be different. For example I can union professor and lecturer where:

$$
\begin{aligned}
& \text { professor (PID : string, name : string }) \\
& \text { lecturer }(\underline{\text { LID }}: \text { string, first_name }: \text { string })
\end{aligned}
$$

## Related Operation: Intersection



## Set Difference Operation - Example



## Set Difference Operation

- Notation $r-s$
- Defined as:

$$
r-s=\{t \mid t \in r \text { and } \mathfrak{t} \notin s\}
$$

- Set differences must be taken between compatible relations.
"Union-compatible"
$-r$ and $s$ must have the same arity
- attribute domains of $r$ and $s$ must be compatible
- Note that in general $r-s \neq s-r$


## Cross-Product Operation-Example

| Relations $r$, $s$ : | A | B |  |  | c |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\alpha$ | 1 2 |  |  | $\alpha$ $\beta$ $\beta$ $\beta$ $\gamma$ |
| r X S: | A | B | C | D | $E$ |
|  | $\alpha$ | 1 | $\alpha$ | 10 | $a$ |
|  | $\alpha$ | 1 | $\beta$ | 10 | $a$ |
|  | $\alpha$ | 1 | $\beta$ | 20 | $b$ |
|  | $\alpha$ | 1 | $\gamma$ | 10 | $b$ |
|  | $\beta$ | 2 | $\alpha$ | 10 | a |
|  | $\beta$ | 2 | $\beta$ | 10 | a |
|  | $\beta$ | 2 | $\beta$ | 20 | $b$ |
|  | $\beta$ | 2 | $\gamma$ | 10 | b |

Intuition: The cross product operation returns all possible combinations of rows in $r$ with rows in $S$.

In other words the result is every possible pairing of the rows of $r$ and $S$.

## Cross-Product Operation

- Notation $r \times s$
- Defined as:

$$
r \mathrm{x} s=\{t q \mid t \in r \text { and } q \in s\}
$$

- Assume that attributes of $\mathrm{r}(\mathrm{R})$ and $\mathrm{s}(\mathrm{S})$ are disjoint. (That is, $R \cap S=\varnothing$ ).
- If attributes names of $r(R)$ and $s(S)$ are not disjoint, then renaming must be used.


## Composition of Operations

- We can build expressions using multiple operations
- Example: $\sigma_{\mathrm{A}=\mathrm{C}}(r \times s)$

| $\boldsymbol{A}$ | $\boldsymbol{B}$ |
| :---: | :---: |
|  |  |
| $\alpha$ | 1 |
| $\beta$ | 2 |
| $\boldsymbol{r}$ |  |$\quad$| $\boldsymbol{C}$ | $\boldsymbol{D}$ | $\boldsymbol{E}$ |
| :--- | :--- | :--- |
| $\alpha$ | 10 | $a$ |
| $\beta$ | 10 | $a$ |
| $\beta$ | 20 | $b$ |
| $\gamma$ | 10 | $b$ |
| $\boldsymbol{S}$ |  |  |


| $\boldsymbol{A}$ | $\boldsymbol{B}$ | $\boldsymbol{C}$ | $\boldsymbol{D}$ | $\boldsymbol{E}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\boldsymbol{\alpha}$ | 1 | $\boldsymbol{\alpha}$ | 10 | $a$ |
| $\alpha$ | 1 | $\beta$ | 10 | $a$ |
| $\alpha$ | 1 | $\beta$ | 20 | $b$ |
| $\alpha$ | 1 | $\gamma$ | 10 | $b$ |
| $\beta$ | 2 | $\alpha$ | 10 | $a$ |
| $\boldsymbol{\beta}$ | 2 | $\boldsymbol{\beta}$ | 10 | $a$ |
| $\boldsymbol{\beta}$ | 2 | $\boldsymbol{\beta}$ | 20 | $b$ |
| $\beta$ | 2 | $\gamma$ | 10 | $b$ |

"take the cross product of $r$ and $S$, then return only the rows where $A$ equals $B$ "

$$
\sigma_{\mathrm{A}=\mathrm{C}}(r \times s) \square
$$

| $\boldsymbol{A}$ | $\boldsymbol{B}$ | $\boldsymbol{C}$ | $\boldsymbol{D}$ | $\boldsymbol{E}$ |
| :--- | :--- | :--- | :--- | :--- |
| $\alpha$ | 1 | $\alpha$ | 10 | $a$ |
| $\beta$ | 2 | $\beta$ | 10 | $a$ |
| $\beta$ | 2 | $\beta$ | 20 | $b$ |

## Rename Operation

- Allows us to name, and therefore to refer to, the results of relationalalgebra expressions.
Example:

$$
\rho \text { (myRelation, }(r-s))
$$

Renaming columns (rename A to A2):

$$
\rho \text { (myRelation }(A->A 2),(r-s))
$$

| A | $B$ | A | B |
| :---: | :---: | :---: | :---: |
| $\alpha$ | 1 | $\alpha$ | 2 |
| $\alpha$ | 2 | $\beta$ |  |
| $\beta$ | 1 | $S$ |  |
|  | $r$ |  |  |

> Take the set difference of $r$ and $s$, and call the result myRelation
> Renaming in relational algebra is essentiality the same as assignment in a programming language

| $\boldsymbol{A}$ | $\boldsymbol{B}$ |
| :---: | :---: |
| $\alpha$ | 1 |
| $\beta$ | 1 |

myRepation

## Rename Operation

If a relational-algebra expression $Y$ has arity $n$, then

$$
\rho(X(A->A 1, B->A 2, \ldots), Y)
$$

returns the result of expression $Y$ under

| A | $B$ | A | $B$ |
| :---: | :---: | :---: | :---: |
| $\alpha$ | 1 | $\alpha$ | 2 |
| $\alpha$ | 2 | $\beta$ |  |
| $\beta$ | 1 | $S$ |  |
|  |  |  |  | the name $X$, and with the attributes renamed to $A 1, A 2, \ldots ., A n$.

For example,
$\rho$ (myRelation $(A->E, B->K),(r-s))$
Take the set difference of $r$ and $s$, and call the result myRelation, while renaming the first field to $E$, and the second field to $K$.

## Sailors Example

Sailors(sid, sname, rating, age)
Boats(bid, bname, color)
Reserves(sid, bid, day)

## Example Instances

- "Sailors" and "Reserves" relations for our examples.

R1

| $\underline{\text { sid }}$ | $\underline{\text { bid }}$ | $\underline{\text { day }}$ |
| :--- | :--- | :---: |
| 22 | 101 | $10 / 10 / 96$ |
| 58 | 103 | $11 / 12 / 96$ |


$S 1$| $\underline{\text { sid }}$ | sname | rating | age |
| :--- | :--- | :---: | :--- |
| 22 | dustin | 7 | 45.0 |
| 31 | lubber | 8 | 55.5 |
| 58 | rusty | 10 | 35.0 |

S2 | $\underline{\text { sid }}$ | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 28 | yuppy | 9 | 35.0 |
| 31 | lubber | 8 | 55.5 |
| 44 | guppy | 5 | 35.0 |
| 58 | rusty | 10 | 35.0 |

## Algebra Operations

- Look what we want to get from the following table:

S2 $\quad$| sid | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 28 | yuppy | 9 | 35.0 |
| 31 | lubber | 8 | 55.5 |
| 44 | guppy | 5 | 35.0 |
| 58 | rusty | 10 | 35.0 |

## Selection

- Selects rows that satisfy selection condition.
- No duplicates in result! (Why?)
- Schema of result identical to schema of

S2 | sid | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 28 | yuppy | 9 | 35.0 |
| 31 | lubber | 8 | 55.5 |
| 44 | guppy | 5 | 35.0 |
| 58 | rusty | 10 | 35.0 | (only) input relation.

$\sigma_{\text {rating }>8}(S 2)=$

| sid | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 28 | yuppy | 9 | 35.0 |
| 58 | rusty | 10 | 35.0 |

## Projection

- Deletes attributes that are not in projection list.
- Schema of result contains exactly the fields in the projection list, with the same names that they had in the (only) input relation.
- Projection operator has to eliminate duplicates! (Why??)
- Note: real systems typically don' t do duplicate elimination unless the user explicitly asks for it.

| sname | rating |
| :--- | :--- |
| yuppy | 9 |
| lubber | 8 |
| guppy | 5 |
| rusty | 10 |

$\pi$
sname, rating
(S2)

## Composition of Operations

- Result relation can be the input for another relational algebra operation! (Operator composition)



## What do we want to get from two relations?

| R1 |  |  | S1 |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | sid | sname | rating | age |
| sid | $\underline{\text { bid }}$ | day | 22 | dustin | 7 | 45.0 |
| 22 | 101 | 10/10/96 | 31 | lubber | 8 | 55.5 |
| 58 | 103 | 11/12/96 | 58 | rusty | 10 | 35.0 |

What about: Who reserved boat 101?

Or: Find the name of the sailor who reserved boat 101.

## Cross-Product

- Each row of S1 is paired with each row of R1.
- Result schema has one field per field of S1 and R1, with field names inherited.

| sid1 | sname | rating | age | sid2 | bid | day |
| ---: | :--- | :---: | :--- | :---: | :--- | :--- |
| 22 | dustin | 7 | 45.0 | 22 | 101 | $10 / 10 / 96$ |
| 22 | dustin | 7 | 45.0 | 58 | 103 | $11 / 12 / 96$ |
| 31 | lubber | 8 | 55.5 | 22 | 101 | $10 / 10 / 96$ |
| 31 | lubber | 8 | 55.5 | 58 | 103 | $11 / 12 / 96$ |
| 58 | rusty | 10 | 35.0 | 22 | 101 | $10 / 10 / 96$ |
| 58 | rusty | 10 | 35.0 | 58 | 103 | $11 / 12 / 96$ |

- Renaming operator (because of naming conflict):

$$
\rho(\text { sid } \rightarrow \operatorname{sid} 1, S 1) \times \rho(\text { sid } \rightarrow \operatorname{sid} 2, R 1)
$$

## Why does this cross product help

Query: Find the name of the sailor who reserved boat 101.

## Another example

- Find the name of the sailor having the highest rating.

AllR $=\pi_{\text {rating } A} \rho($ rating $->$ rating $A, S 2)$
Result $?=\pi_{\operatorname{Sname}}\left(\sigma_{\text {rating }<\text { rating } A}(S 2 \times \mathrm{AllR})\right)$
What's in "Result?" ?
Does it answer our query?
S2

| sid | sname | rating | age |
| :---: | :--- | :---: | :--- |
| 28 | yuppy | 9 | 35.0 |
| 31 | lubber | 8 | 55.5 |
| 44 | guppy | 5 | 35.0 |
| 58 | rusty | 10 | 35.0 |

S2

| $\underline{\text { sid }}$ | sname | rating | age |
| :--- | :--- | :---: | :--- |
| 28 | yuppy | 9 | 35.0 |
| 31 | lubber | 8 | 55.5 |
| 44 | guppy | 5 | 35.0 |
| 58 | rusty | 10 | 35.0 |$=$| ratingA |
| :--- |$=$| 9 |
| :--- |
| 5 |
| 10 |

$\operatorname{AllR}=\pi_{r a t i n g} A\left(\right.$ rating $_{->}$rating $\left.A, S 2\right)$
Result $?=\pi_{\text {Sname }}\left(\sigma_{\text {rating }<\text { rating } A}(S 2 \times \mathrm{AllR})\right)$

| sid | sname | rating | age | ratingA |
| :--- | :--- | :--- | :--- | :--- |
| 28 | yuppy | 9 | 35.0 | 9 |
| 28 | yuppy | 9 | 35.0 | 8 |
| 28 | yuppy | 9 | 35.0 | 5 |
| 28 | yuppy | 9 | 35.0 | 10 |
| 31 | lubber | 8 | 55.5 | 9 |
| 31 | lubber | 8 | 55.5 | 8 |
| 31 | lubber | 8 | 55.5 | 5 |
| 31 | lubber | 8 | 55.5 | 10 |
| 44 | guppy | 5 | 35.0 | 9 |
| 44 | guppy | 5 | 35.0 | 8 |
| 44 | guppy | 5 | 35.0 | 5 |
| 44 | guppy | 5 | 35.0 | 10 |
| 58 | rusty | 10 | 35.0 | 9 |
| 58 | rusty | 10 | 35.0 | 8 |
| 58 | rusty | 10 | 35.0 | 5 |
| 58 | rusty | 10 | 35.0 | 10 |
|  |  |  |  |  |

## Union, Intersection, Set-Difference

- All of these operations take two input relations, which must be unioncompatible:
- Same number of fields.
- 'Corresponding' fields have the same type.

| sid | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 22 | dustin | 7 | 45.0 |
| 31 | lubber | 8 | 55.5 |
| 58 | rusty | 10 | 35.0 |
| 44 | guppy | 5 | 35.0 |
| 28 | yuppy | 9 | 35.0 |

$S 1 \cup S 2$

- What is the schema of result?

| sid | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 22 | dustin | 7 | 45.0 |


| sid | sname | rating | age |
| :--- | :--- | :--- | :--- |
| 31 | lubber | 8 | 55.5 |
| 58 | rusty | 10 | 35.0 |
| $S 1 \cap S 2$ |  |  |  |

## Back to our query

- Find the name of the sailor having the highest rating.


## Relational Algebra (Summary)

- Basic operations:
- Selection ( $\sigma$ ) Selects a subset of rows from relation.
- Projection ( $\pi$ ) Deletes unwanted columns from relation.
- Cross-product ( $\times$ ) Allows us to combine two relations.
- Set-difference ( - ) Tuples in reln. 1, but not in reln. 2.
- Union ( $\cup$ ) Tuples in reln. 1 and in reln. 2.

Also,

- Rename ( $\rho$ ) Changes names of the attributes
- Since each operation returns a relation, operations can be composed! (Algebra is "closed".)
- Use of temporary relations recommended.

